## BASIN AND RANGE PROVINCE EARTHQUAKE WORKING GROUP II

## UTAH GEOLOGICAL SURVEY U.S. GEOLOGICAL SURVEY WESTERN STATES SEISMIC POLICY COUNCIL







## **BRPEWGII GOALS**

- Bring together subject-matter experts to discuss evidence, evaluate issues, and define strategies for resolving eight key geologic (4) and seismologic (4) questions important to the next update of the National Seismic Hazard Maps.
- Where possible, establish a consensus recommendation(s) to the U.S. Geological Survey on each question for the next NSHM update.
- Where consensus is not possible, outline research programs to resolve outstanding technical issues that the USGS can use when setting research priorities.



## **BRPEWGII MEMBERS**

- **1.** John Anderson\* University of Nevada Reno Seismological Laboratory
- 2. Walter Arabasz University of Utah Seismograph Stations
- 3. Glenn Biasi University of Nevada Reno Seismological Laboratory
- 4. **Rich Briggs USGS Denver**
- 5. Jim Brune\* University of Nevada Reno Seismological Laboratory
- 6. Tony Crone\* USGS Denver
- 7. Craig dePolo Nevada Bureau of Mines & Geology
- 8. Chris DuRoss\* Utah Geological Survey
- 9. Ryan Gold USGS Denver
- **10.** Kathy Haller\* USGS Denver
- 11. Suzanne Hecker USGS Menlo Park
- 12. Mike Hylland\* Utah Geological Survey
- **13.** David Love New Mexico Bureau of Geology & Mineral Resources
- 14. William Lund\*\* Utah Geological Survey
- 15. Morgan Moschetti USGS Denver
- **16.** Chuck Mueller\* USGS Denver
- 17. Susan Olig\* URS Corp.
- **18. Phil Pearthree Arizona Geological Survey**
- **19.** Jim Pechmann\* University of Utah Seismograph Stations
- 20. Steve Personius USGS Denver
- 21. Mark Petersen\* USGS Denver
- 22. Bill Phillips Idaho Geological Survey
- 23. Dave Schwartz\* USGS Menlo Park
- 24. Mike Stickney Montana Bureau of Mines & Geology
- 25. Steve Wesnousky\* University of Nevada Reno
- 26. Chris Wills California Geological Survey
- 27. Seth Wittke Wyoming Geological Survey
- 28. Ivan Wong\* URS Corp.

\*Issue discussion leader \*\*Working Group Coordinator



#### AGENDA

#### **BASIN AND RANGE PROVINCE EARTHQUAKE WORKING GROUP II (BRPEWGII)** MEETING

November 14-16, 2011

#### Utah Department of Natural Resources Building (1<sup>st</sup> Floor Meeting Rooms) 1594 West North Temple, Salt Lake City, Utah

#### Monday, November 14

12:00 – 1:00 Lunch [Room 1060, adjacent to meeting room]

- 1:00 3:30 Issue S1: How should the magnitude-frequency relations for a single Basin and Range Province (BRP) fault be characterized? Does existing seismological data help define this relationship? (Discussion Leaders – David Schwartz and Jim Pechmann) [Room 1050]
- 3:30 3:45 Break [Room 1060, adjacent to meeting room]

3:45 – 6:15 **Issue G1:** How should we calculate Mmax for BRP faults based on rupture lengths, fault areas, and available displacement data (Mmax of 7.5 currently is used in the NSHMs and is based on the magnitude of the 1959 Hebgen Lake earthquake)? What is the source or explanation of the discrepancy between M calculated using surface-rupture length versus using the average or maximum displacement (site bias, underestimation of surface rupture length, other?)? How should the discrepancy in the magnitude determined from these two measurements be handled in the NSHMs? (Discussion Leaders – **Susan Olig and Chris DuRoss**) [Room 1050]



Tuesday, November 15	
7:00 – 7:30	Continental breakfast [Room 1010]
7:30 – 10:00	<b>Issue S2:</b> How should the "smoothing" of seismicity be handled in the NSHMs? The current NSHMs use a radial smoothing process, but recent precarious rock studies in California and western Nevada suggest that anisotropic smoothing (i.e. along faults) might be more appropriate? If anisotropic smoothing is used, should it be applied universally across the entire BRP? ( <b>Discussion Leaders – Mark Petersen and Jim Brune</b> ) [Room 1060]
10:00 - 10:15	Break [Room 1010]
10:15 – 12:30	<b>Issue G2:</b> How should antithetic fault pairs be modeled in the NSHMs? For example, what is the relation and seismogenic significance of fault pairs such as the East and West Cache faults, and strands of the Salt Lake City segment of the Wasatch fault and the West Valley fault zone? ( <b>Discussion Leaders – Kathy Haller and Mike Hylland</b>
12:30 - 1:00	Lunch [Room 1010]
1:00 – 3:30	<b>Issue S3:</b> Does the rate of earthquakes represented on the NSHMs need to match the rate of historical earthquakes? If not, what level of mismatch is acceptable? ( <b>Discussion Leaders – Chuck Mueller and Ivan Wong</b> ) [Room 1060]
3:30 - 3:45	Break [Room 1010]
3:45 – 6:15	<b>Issue G3:</b> The USGS seeks guidance on how to estimate the uncertainty for the slip rates on BRP normal-slip faults, especially for faults that have little or no slip-rate data. The method used in California to estimate the uncertainty has varied the upper and lower bounds of the slip rate by plus-or-minus 50%. Thus the uncertainty bounds for a fault that has a slip rate of 5 mm/yr would be 7.5 mm/yr and 2.5 mm/yr. Do these bounding values encompass the fifth and ninety-fifth percentiles for this fault? ( <b>Discussion Leaders – Kathy Haller and Steve Wesnousky</b> ) [Room 1060]

#### Wednesday, November 16

- 7:30 8:00 Continental breakfast [Room 1060, adjacent to meeting room]
- 8:00 10:00 Issue S4: What are the sources and levels of uncertainty in the earthquake magnitudes contained in the seismicity catalogs used in the NSHMs? (Discussion Leaders Chuck Mueller and John Anderson) [Room 1050]
- 10:00 10:15 Break [Room 1060]
- 10:15 12:30 **Discussion**
- 12:30 1:00 Lunch [Room 1060]
- 1:00 3:00 Wrap-up Discussion: Revisit issues as necessary, finalize consensus recommendations. [Room 1050]



### **Issue S1: Introduction and Specific Questions**

## **Original Questions**

- How should magnitude-frequency relations for a single Basin and Range Province (BRP) fault be characterized?
- Does existing seismological data help define this relationship?

Discussion Leaders Dave Schwartz (USGS) and Jim Pechmann (UU)

#### Agenda

**1:00 - 1:15** Session S1: Introduction and Specific Questions (Jim Pechmann, UU, and Dave Schwartz, USGS)

- 1:15 1:30 Models Used in the 2008 National Seismic Hazard Maps for Frequency-Magnitude Relations on Faults (Mark Petersen, USGS)
- **1:30 1:45** Evaluating Frequency-Magnitude Models for Individual Faults Using a Global Data Set of Slip at a Point (Suzanne Hecker, USGS)

1:45 - 2:00 Frequency-Magnitude Relations on Individual Faults as Inferred from Earthquake Catalogs and Paleoseismic Data (Steve Wesnousky, UNR)

#### **Agenda (continued)**

2:00 - 2:15 Observed Seismicity and Recurrence Modeling on the Wasatch Faults (Walter Arabasz, UU)

2:15 - 2:30 Fault-Specific Magnitude Frequency Distributions (Glenn Biasi, UNR)

**2:30 - 3:00** General Discussion

**3:00 - 3:30 Discussion of Recommendations** 

**3:30 - 3:45** Break

#### **RECURRENCE MODELS**





Typical Weightings for Frequency-Magnitude Models in PSHAs (Including Yucca Mountain) ----information from Ivan Wong

**60% Youngs and Coppersmith Characteristic** 

**30% Maximum Magnitude** 

**10% Standard Gutenberg-Richter** 

**0% USGS Gutenberg-Richter** 

2008 National Seismic Hazard Map Weightings for Frequency-Magnitude Models

## **Most BRP Faults**

67% Maximum Magnitude33% USGS Gutenberg-Richter

#### Wasatch Fault (Exception)

72% Maximum Magnitude18% USGS Gutenberg-Richter10% Floating M 7.4

No weight to the Standard Gutenberg-Richter or Youngs and Coppersmith characteristic models

#### **Recommendations from the 2006 BRPEWGI Meeting** (All Short-Term for the 2007 NSHMs)

- 1. The USGS "floating exponential" model should be validated to the extent possible, or at least made consistent with the paleoseismic and historical earthquake record in the BRP. The USGS model should also be compared with traditional magnitude-frequency models currently used in state-of-the-practice PSHAs. (Not Done)
- The USGS should use the same recurrence model and weights for all BRP faults unless there is a technical basis for deviating from this characterization. (Done)
- 3. Weights assigned to the maximum magnitude and "floating exponential" models used for the 2007 NSHMs should at a minimum, have the same weights as those used in California (2/3 1/3) unless there is a technical basis for deviating from this characterization. (Done)

#### Recommendations from the 2006 BRPEWGI Meeting (All Short-Term for the 2007 NSHMs; continued)

- To avoid double-counting earthquakes in the range of M 6.5 to the characteristic earthquake magnitude, zones surrounding BRP faults should be removed from the areas included in the Gaussian smoothing of background seismicity. (Done)
- The methodology used for constructing the NSHMs must be fully transparent. The USGS is urged to publish, if only as a short note, how recurrence modeling is performed for the NSHMs, especially for fault-specific sources.
   (Partially done in 2008 NSHM documentation)

#### **Frequency-Magnitude Distributions for BRP Faults: Specific Questions**

- 1. Do moderate-size *independent* earthquakes below the threshold of surface faulting (M 5.0 to 6.5) occur at a higher rate on or near major Quaternary faults than elsewhere?
- 2. If so, should this increased rate be accounted for in the NSHMs by

(a) Extending the Gutenberg-Richter model down to M 5
(the minimum M for the PSHA calculations) and/or
(b) Giving some weight to the Youngs and Coppersmith
(1985) characteristic model?

3. For larger earthquakes (M ≥ 6.5), is the 67% / 33% weight for the maximum magnitude versus G-R models (with the Wasatch fault exception) appropriate?



"The majority of small earthquakes do not occur on the same principal slip surfaces of late Quaternary faults in southern California as the major earthquakes."

# Observed Seismicity and Recurrence Modeling on the Wasatch Fault (Revisited) Walter Arabasz



BRPEWG II November 14, 2011

## Towards Weighting Recurrence Models for the Wasatch Fault

- What can we say from observational seismology?
- Keeping an eye on what we know and don't know
- Distinction between (1) discerning the true seismogenic behavior of the Wasatch fault and (2) appropriate modeling of observed/future seismicity

## Schwartz & Coppersmith (1984)



# Youngs et al. (1987, 2000)



## Pechmann & Arabasz (1995)





Update of Pechmann & Arabasz (1995) for Wasatch Front <u>region</u>

(from Feb 2010 WGUEP meeting)

# Chang & Smith (2002)







## Wong et al. (2002)



(number of earlingue

## Seismicity of the Utah Region, 1981–2008





Earthquakes with well-constrained and fair focal depths only 1981 - 2008



# Central Utah



### BLUE = "Well-constrained"

RED = "Fair"

Arabasz et al. (2007, UGA 36)





# Problematic spatial correlationOne example...Note: Only 12% of EQs in UUSS catalog since<br/>1981 have well-constrained focal depths



## Conclusions (1 of 2)

- Data don't favor a truncated exponential model—but one can plausibly argue for this model for M ≥ 3 (Issue: Did pre-instrumental M4's and M5's occur on the Wasatch fault?)
- Observed seismicity is more consistent with the characteristic model—but the association of sampled instrumental seismicity with the Wasatch fault is uncertain
- Maximum magnitude model is viable if smaller earthquakes are part of adjoining background zones and not on the Wasatch fault

## Conclusions (2 of 2)

 There's a distinction between (1) discerning the true seismogenic behavior of the Wasatch fault and (2) modeling of observed/future seismicity

—Weights for competing hypotheses do not have to be the same for both cases

—Modeling of observed (and future) seismicity has to be mindful of double counting and <u>source</u> of rate information

—Seismicity can be modeled by Mmax or characteristic model for larger EQs on the Wasatch fault plus truncated exponential for adjoining background (appropriately accounting for threshold and upper-bound magnitudes and spatially-smoothed seismicity rate in the background)

# Fault-Specific Magnitude-Frequency Distributions

Glenn Biasi University of Nevada Reno
### The Characteristic Magnitude Distribution



Hypothesizes that large earthquakes on faults occur at a higher rate than in the G-R distribution

This and several following slides from Page et al. 2008 AGU

### Direct Magnitude-Frequency Estimation from Paleoseismic Data

Approximate dates of events over last ~1000 years are available at 8 paleoseismic sites on the SSAF\*



\*Frazier Mountain would be added and some event dates changed if the analysis was repeated today.

The dates are linked in every way allowed by dating error to form all possible ruptures



Site 2 Site 3 Site 4 Site 1 time | Rupture 1 Rupture 2 Rupture 3 Rupture 4

Slip and magnitude of each rupture is determined from length using the Hanks-Bakun relationship



Example rupture scenario for the southern San Andreas fault.

For magnitude-frequency study:

-- Collect good-fitting scenarios

-- Estimate magnitude from length (the only "known")

-- Synthesize into magnitude-frequency curve for ground-rupturing earthquakes.

Red arches are displacement profiles.

Displacements for events after AD 1100 are summed to the blue line.

Green X's mark total displacement expected since 1100. Mean difference is 2.0 m.



Magnitude-frequency curve for WGCEP slip rate model 2.1

100 best-fitting scenarios

Power-law shape

Slope b=-0.7 (and significantly less than 1.0)

# Compare with rates predicted by seismicity along the fault itself.





Wesnousky (1994 BSSA) studied magnitude-frequency relations for several SoCal faults (rectangular boxes)



Elsinore fault example, upper as 5 segments, lower as one. Maximum likelihood annual occurrence rate from seismicity under-predicts geologic rate of large events. Catalog is either missing lots of 3<M<5 events, or is not stationary, or a characteristic model contributes.

### Catalog under-predicts paleoseismic estimated rates on all faults considered.



 Preliminary and sophisticated attempts conclude that catalog seismicity underpredicts rates of large events in California

- Closing points by Wesnousky, 1994:
  - (1) the last 5 decades of seismicity are the best indicators of the next 50 years
  - (2) 50 years is about the period of interest in seismic hazard and engineering analysis
  - Therefore the characteristic recurrence model will serve better

#### Magnitude-frequency from displacement at a point



Expect a uniform distribution of displacements at a point if the magnitudes are GR that cause ground rupture.

Anecdotal evidence: 13 displacements from Wrightwood are fairly evenly distributed.

# Basin and Range: Magnitude-frequency with low rates of seismicity and long recurrence times

- Uncertainties in the rate of small earthquakes will lead to rate uncertainties at recurrence intervals of 10^3 to 10^5 years.
- Individual, poorly located M4's could control the results
- Suggestion:
  - Compare seismicity rates with the geologic rate.
  - If they compare for M6+, use the result
  - If they differ, the geologic rate may be more stable.



Example: One M2.5/yr on a fault. Extrapolate to 0.001 to 0.0001

# Magnitude-frequency distribution for NSHM's

# How we characterize M-f distributions in source models for faults and gridded background seismicity?

- Data: Geologic slip rates, paleoseismic recurrence, geodetic strain rate data, seismicity ...
- Models: GR, Characteristic
- Uncertainty: Aleatory (natural variability) and epistemic (model uncertainty)
- Method: Use historic seismicity for earthquakes up to M 6.5 (near faults) or 7.0 (away from faults) and geologic data on faults



Youngs and Coppersmith, 1985; Schwartz and Coppersmith, 1984

### NSHM – conceptual model (no uncertainty)

•Background model: Use catalog to calculate 10<sup>a</sup> for GR distribution.

•Fault model: Use slip rates for calculating characteristic or floating partial-segment ruptures - GR (e.g., Wesnousky, Anderson).



# USGS National Seismic hazard map Magnitude-frequency distribution

- Where geodetic data indicate significant strain but geology is not well defined we model shear zones.
- We use M 6.5 up to 7.6 on oriented line sources with recurrence defined by converting geodetic strain rates to moment rates.
- Geodetic models will be discussed at a workshop, summer 2012.



### USGS Model for Faults (weights changed)



1. Moment rate 2E17/yr (2/3) split into three magnitudes – with additional aleatory variability  $\sigma = 0.12$ .

2. Moment rate 6.7E16/yr (1/3) used for floating ruptures (GR) maximum magnitude also split into three magnitudes, no additional aleatory variability.

#### M,f distribution for Oakridge Offshore (2008)



### USGS National Seismic background source Magnitude-frequency distribution

- •To calculate random moderate size earthquakes use catalog to calculate 10<sup>a</sup> values at evenly spaced grid points.
- Insures the number of earthquakes in the model 5≤M<6.5 is equal to the number in the catalog (with the exception of a few short faults with M < 6.5).</li>
- •Earthquakes are smoothed using a radial or anisotropic Gaussian smoothing operator with sigma about 32 km that extends out about 150 km.
- •M 5-6 earthquakes are modeled as point sources and M > 6 are modeled as finite fault source with random or fixed strike.
- •Described more in Session S2

## Source model



1. Moment rate 2E17/yr (2/3) split into three magnitudes

2. Moment rate 6.7E16/yr (1/3) used for floating ruptures (GR)

3. Moment rate 2E16/yr used for background earthquakes

# M-f distribution and catalog rates



To solve the discrepancy between the model and catalog rate of earthquakes:

- GR b-value=0 option on Type-B faults (50% weight)
- A 10% slip-rate (or moment-rate) reduction applied to faults to account for off-fault deformation, smaller earthquakes, aftershocks and foreshocks.
- Inclusion of multi-segment ruptures on the larger sources
- Different weights for Char and GR
- The background seismicity GR distribution is reduced by a factor of 3 above M 6.5
- Regional GR b-value? (this was not changed in CA)

## Contributions to hazard

Magnitude Frequency Distribution



## Y&C model for determining WUS hazard



In addition to fault model –

Need to have background seismicity model (based on earthquake catalog)
Need to remove earthquakes that occur on faults from catalog.





3. Flat portion of curve (m'-1)

This model is used by the consulting industry.

(10 km?)

# Model uncertainty

- Characteristic: +/- 0.2 epistemic (0.2, 0.6, 0.2 wts), sigma = 0.12 aleatory out two sigma.
- Floating ruptures: +/- 0.2 epistemic in magnitude, no aleatory.



#### GPS horizontal vectors in the WUS



### Issue G1: Calculating moment magnitudes for Basin and Range Province faults

Susan Olig (URS Corporation) Chris DuRoss (UGS)

Basin and Range Province Earthquake Working Group II; November, 2011

### **DEFINING THE PROBLEM**

#### ISSUE G1:

- "How should we calculate Mmax (*Mchar*) for BRP faults based on rupture lengths, fault areas, and available displacement data (Mmax of M 7.5 currently is used in the NSHMs and is based on the magnitude of the 1959 Hebgen Lake earthquake)?"
- What is the source or explanation of the discrepancy between M calculated using surface-rupture length versus using the average or maximum displacement (site bias, underestimation of surface rupture length, other)?"
- "How should the discrepancy in the magnitude determined from these two measurements be handled in the NSHMs?"

PART 1: Capping Mmax vs PART 2: Best Approach for Estimating Mmax



Introduction	
<ul> <li>Overview and background of G1 issue</li> </ul>	Susan Olig & Chris DuRoss
<ul> <li>Current NHM approach used for estimating and capping Mmax</li> </ul>	Kathy Haller
PART 1: Capping Mmax	
– Historical observations, data limitations and uncertainties	Susan Olig
<ul> <li>Discussion and draft recommendation</li> </ul>	All
<b>PART 2:</b> Best Approach for Estimating Mmax in the BRP (Better Understanding the M-SRL vs. M-D Discrepency in the BRP)	
– Wasatch fault case study	Chris DuRoss
<ul> <li>Underestimating SRLs</li> </ul>	Craig dePolo

- Overestimating displacements
- Large stress drops
- Discussion and draft recommendation(s)

Chris DuRoss Craig dePolo Glen Biasi Susanne Hecker All

### History - BRPEWG I Recommendations

#### Short Term (2007 NSHMs):

Use magnitude-displacement regressions to improve magnitude estimates where the magnitude from SRL appears inconsistent

Have a working group look at faults for which displacement data are available (thought to be ~20 in Nevada ), and suggest a weighting between displacement and SRL estimates of magnitude to achieve a combined fault magnitude estimate

#### Long Term:

Develop new empirical regression relations among several other broad research objectives

### **BRPEWG I Recommendations Driven By:**

- Discrepancy between M-SRL vs. M-D (e.g., Mason, 1996; Olig et al., 1997; Stirling et al., 2002)
- Observations that large historical BRP fault ruptures typically have included more than one segment (e.g., dePolo et al., 1991)
- Indications that paleo-displacements may be a better predictor of the size of expected Mmax (e.g., Hemphill-Haley and Weldon, 1999; Chang and Smith, 2002; Biasi and Weldon, 2006)

Agreement that uncertainties need to be better addressed in estimating Mmax for NHM

### BRPEWG II Issue G1 Driven By:

Difficulty in implementing BRPEWG I recommendations (lots of questions about how, what, and who??)

#### WGUEP Case Study

- Highlighted different opinions about regressions and approaches (consensus not simple – even for Wasatch fault with lots of data)
- Approach significantly affects Mmax (which affects hazard in multiple ways, including rates depending on how they are calculated)

### Seismic Moment – Fundamental Measure of Earthquake Size

- >  $M_0 = \mu * A * AD$  (Aki, 1966)
  - $M_0 =$  Seismic moment
  - $\mu$  = crustal rigidity/shear modulus (3 x 10<sup>11</sup> dyne-cm)
  - Area (A) = surface-rupture length (SRL) \* down-dip width (W)
  - *AD* = Average slip on fault (*average dislocation over area of fault surface*)
- Moment magnitude (M) (Hanks and Kanamori, 1979)
  - $\mathbf{M} = 2/3 \log M_0 10.7$
- Empirical linear regressions
  - $\mathbf{M} = a \log \mathbf{X} + b$
  - X = fault parameter, e.g., SRL, W, A, D, SRL\*D, A\*D...
  - -a, b = constants

### Background – Wells and Coppersmith (1994) M Regressions

- WC94 all-slip-type regressions (recommended by WC- statistically more robust):
  - Area (A)  $\mathbf{M} = 4.07 + (0.98 \text{ x log A}); n = 148; r = 0.95$
  - Surface rupture length (SRL) M = 5.08 + (1.16 x log SRL); n = 77; r = 0.89
  - Average displacement (AD) M = 6.93 + (0.82 x log AD); n = 56; r = 0.75
- WC94 normal slip regressions (consider because normal-slip events scale differently?)
  - Area  $M = 3.93 + (1.02 \times \log A); n = 22; r = 0.92$
  - SRL

M = 4.86 + (1.32 x log A); n = 15; r = 0.81

- AD M = 6.78 + (0.65 x log AD); n = 12; r = 0.64



### Potential Sources of M-SRL and M-D Discrepancies & Related Issues

- 1. Potential issues with the regressions
  - a. Inconsistencies in defining and applying AD or SRL
  - b. Scaling issues (Do large earthquakes scale differently than small earthquakes? Or do earthquakes in different tectonic environments scale differently? Or do different slip types scale differently?)
  - c. Would multivariate (e.g., SRL \* SR or SRL \* D) regressions be better?
- 2. Underestimate surface rupture lengths (erosion/burial of small scarps, multisegment ruptures)
- 3. Overestimate displacements based on paleoseismic observations
- 4. Large stress drops
- 5. Other sources (uncertainty in fault dip and seismogenic depth)?
#### Issue 1. Inconsistencies in Inputs -What is AD?



#### Slip Vector Diagram

# Issue 1. How do Surface and Subsurface Displacements Compare?

#### Ratio of AD subsurface/AD surface ranges from 0.25 – 6.0



Fig. 6b from Wells and Coppersmith (1994)

#### Issues 1. Preinstrumental vs. Instrumental data bias

Relations using preinstrumental (incl. prehistoric) data (blue dashed) result in larger M estimates than those based on instrumental relations (including WC94)



Surface rupture length (km)

Figure 1. Regressions of magnitude versus surface rupture length for our worldwide instrumental dataset, the worldwide pre-1900 preinstrumental dataset, and the original regressions of Wells and Coppersmith. See Table 1 for parameters and b for each of the regressions.



Figure 3. Regressions of average surface rupture displacement versus surface rupture length for our worldwide instrumental dataset, the worldwide pre-1900 preinstrumental dataset, and the original regressions of Wells and Coppersmith. See Table 1 for parametersa and b for each of the regressions.

#### Stirling et al., 2002

# Issues 1. Preinstrumental vs. instrumental data bias & scaling issues with size

 Differences in regressions can be accounted for by the natural censoring of small events in the preinstrumental record
 (Red line: instrumental data censored for

(Red line: instrumental data censored for AD < 1 m, SRL < 5 km)

- Remaining (minor) differences between preinstrumental and censored instrumental (for D vs. SRL)
  - Overestimated AD (small scarps not included)
  - Underestimated SRL (small scarps not included)

"Large earthquakes have different scaling relationships to those of smaller earthquakes"

Stirling et al., 2002



# Issue 1. Do Large Earthquakes Have Different Scaling Relationships from Small earthquakes?

- Studies indicate a bilinear model better fits the data for strike-slip earthquakes with constant stress-drop scaling for smaller events and L-model scaling for larger events (Hanks & Bakun, 2002; 2008; Leonard, 2011)
- What regression is used in NHM for large strike-slip faults in the western BRP?
- Do large normal slip earthquakes also scale differently from small events?



From Hanks and Bakun (2008)

#### Issue 1. Scaling for AD vs. SRL

- Log-linear or power-law curves better fit strike-slip earthquakes (Wesnousky, 2008)
- Normal earthquakes are reasonably well fit by a straight line with slope between that of reverse and strike-slip (Wesnousky, 2008; WC 1994)
- Relationships for all fault types are dominated by strike-slip events- should we be using regressions for normal-slip events?



From Wesnousky (2008)

# 1. Scaling Issues – Tectonic environment, Strain Rate & Stress Drop

- Anderson et al. (1996) found that including slip rate (SR) with length (SRL) in the regression model provides a better fit to the data than just SRL alone (6 of the 43 total earthquakes where in BRP)
- ➢ Low SR → larger  $M_W$  (They suggest this is due to higher stress drop in low strain rate environments)
- Leonard (2011) also found differences between intraplate-dipslip (SCR), interplate-dip slip and interplate –strike slip events



From Anderson et al. (1996)

# Regression Issue 1. Should D and SRL be used in a multivariate analysis?

- $\succ$  Bonilla et al. (1984)
  - Found that correlating SRL\*MD gave the most robust relationships (highest correlation coefficient and lowest standard deviation)



FIG. 7. Comparison of correlations of  $M_S$  with various rupture parameters. Regressions are of  $M_S$  on the given parameter, using ordinary least squares  $M_S \ge 6$ . Number of data points in each group is shown to right of parameter column. L, surface rupture length; D, maximum surface displacement; W, rupture width (downdip);  $r^2$ , coefficient of determination; s, standard deviation of  $M_S$ ; t, t statistic. The t probability for the set log LW is 0.001, and is 0.000 for all the others.

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Mason (1996) also found more robust statistical correlation when AD and SRL were correlated.

# **DISCUSSION QUESTIONS**

- 1. Should the NHM keep the present M 7.5 cap on Mmax or use a different distribution?
- 2. Given the discrepancies between M-SRL and M-D, what is the best approach for determining Mmax for BRP faults in the NHM? Is it the same for both strike-slip and normal faults?
  - a. If we systematically underestimate SRL, can the amount be quantified for prehistoric ruptures?
  - b. Does D scale with SRL or W for BRP faults? Different scaling for small vs. large earthquakes? Is a BRP region -specific relation needed?
  - Can we blame large stress drops for the SRL-D discrepancy? If so, what to do about it? Use SRL-Slip Rate empirical relations (e.g., Anderson et al., 1996)?

## **DISCUSSION QUESTIONS**

- d. If we systematically overestimate AD, should we even be using AD regression relations as recommended by BRPEWG I? If so, which M-D regressions should be used? How should they be weighted? What criteria and guidelines can BRPEWG II recommend for applying these consistently in a straightforward approach for all BRP faults in the NHM?
  - W&C94: M(AD)
  - HHW99: M(AD-corrected)
  - H&K79: M(M0= m\*A\*AD)
  - B&W06: M(AD)
- e. Formal recommendation to develop new M regressions? Layout path forward?
  - Update/revise WC94 catalog
  - Different scaling parameters (SRL\*AD?) (SRL \* SR?)
  - Censoring of small events (Stirling et al., 2002)
  - Regional regressions or slip type?



#### Short-Term Recommendations Part 1 –

**Part 2** –

Long-Term Recommendations Part 1 –

**Part 2 –** 

#### 2. Underestimated SRLs

- Multisegment ruptures are common (dePolo and Slemmons, 1991) but usually do not have enough paleoseismic data to characterize these for NHM
- Wells and Coppersmith (1994)
  - SRL = 75% of subSRL (aftershock zone)
- Burial and erosion of smaller scarps near rupture endpoints Underestimate SRL by ~25% if small displacements (equal to 0–10% of MD) are removed by weathering/erosion (10 normal fault ruptures) (McCalpin and Slemmons, 1996)

### 3. Overestimated Displacement

- D more reliable measure of M than SRL
  - Because of scarp preservation and field sampling issues, tend to overestimate AD, underestimate SRL

#### Analytical model



- Uncertainty decreases with sample size (large when <3, ideal # is 5–10)
- For fixed # samples, uncertainty <u>increases</u> with % of fault rupture sampled
- For small # samples or fault %, sample mode consistently overestimates sample mean
- Application to Paleoearthquakes
  - Correction to AD based on # samples and % of rupture sampled
  - Area sampled based on mapped trace or D-SRL regression?
  - "We would hesitate to use less than 3–5 measurements because of the extremely large uncertainties"

#### Issue 1b. Scaling for AD vs. SRL

Log-linear or power-law curves better fit strike-slip earthquakes

- Normal earthquakes are reasonably well fit by a straight line with slope between that of reverse and strike-slip
- Relationships for all fault types are dominated by strike-slip events- should we be using regressions for normal-slip events?



From Wesnousky (2008)

# <u>Issue G1 – Part 1: Capping Mmax</u> (Putting a Lid on Mmax for Long, Unsegmented, Normal-Slip BRP Faults)

Susan Olig (URS Corporation) Chris DuRoss (UGS)

Basin and Range Province Earthquake Working Group II; November, 2011

#### Largest Normal-Slip Earthquakes

> 1959 Hebgen Lake, MT:  $M_W 7.3$ 

▶ 1954 Dixie Valley-Fairview Peak, NV: M<sub>w</sub> 6.9 & 7.2\*

▶ 1915 Pleasant Valley, NV:  $M_W 7.2$ 

▶ 1887 Sonora, Mexico: M<sub>W</sub> 7.4 – 7.6

▶ 1872 Owens Valley, CA:  $M_W 7.6^*$ 

\* Included significant strike-slip component

#### 3 May 1887 Sonora (Pitaycachi) Earthquake

Previous Parameters (W&C 1994):

 $M_{W} 7.31$ SRL = 75 km MD = 4.5 m AD = 1.9 m Revised Parameters (Suter and Contreras, 2002; Suter, 2006; Bakun, 2006):

 $M_W 7.5 \pm 0.3$  SRL = 102 km MD = 5.1 m AD = 1.1 - 2.7 mSR = 0.06 mm/yr

- Part of a 300-km-long N-S striking down-to-the-west normal fault along western flank of Sierra Madre Occidental in Sonoran BRP
- Ruptured 3 "segments" (Pitaycachi – 44 km; Teras – 21 km; & Otates – 19 km)



From Suter (2008)

- Maximum vertical slip 5.1 m;
  horizontal slip negligible
  - Average vertical slip: Pitaycachi – 2.3 m
     Teras – 1.1 m
     Otates – 1.9 m





Scarp is ~4.4 m high.

Photo by Camillus Fly; From Suter (2006)



AD = 2.2 m

From Wesnousky (2008)

#### Magnitude Estimates – 1887 Sonora Earthquake

<b>Regression/Analysis</b>	<b>Input Parameter(s)</b>	Estimated $\mathbf{M}_{\mathbf{W}}$
WC-SRL -All -Normal	SRL = 102 km	$\begin{array}{r} 7.4 \ \pm 0.28 \\ 7.5 \ \pm 0.34 \end{array}$
Anderson et al. (1996)	SRL = 102  km; SR = 0.06  mm/yr	7.7
WC-RA -All -Normal	Dip = 74°; Depth = 16 km A = 1697 km <sup>2</sup>	$\begin{array}{l} 7.2 \ \pm 0.24 \\ 7.2 \ \pm 0.25 \end{array}$
WC-MD -All -Normal	5.1 m	$\begin{array}{l} 7.2 \ \pm 0.40 \\ 7.1 \ \pm 0.34 \end{array}$
WC-AD -All -Normal	2.2 m	$\begin{array}{l} 7.2 \ \pm 0.39 \\ 7.0 \ \pm 0.33 \end{array}$
Hanks & Kanamori (1979) $M_0 = A * AD * \mu$	$A = 1697 \text{ km}^2; \text{ AD} = 2.2 \text{ m}$ $\mu = 3x10^{11} \text{ dyne/cm}^2$	7.3 (7.5 for 50° dip)
Bakun (2006)	Intensity	7.5 (7.2-7.7)
Suarez & Hough (unpublished)	Intensity	7.6

#### 18 August 1959 Hebgen Lake, MT Earthquake

 $\succ$  M<sub>s</sub> 7.5 (Abe, 1982)

>  $M_0 1.0 \ge 10^{27}$  dyne-cm (Doser, 1985)

 $\succ$  M<sub>W</sub> 7.3 (Arabasz et al., 1992)

### 1959 M<sub>W</sub> 7.3 Hebgen Lake Earthquake

#### Complex rupture included:

- Red Canyon fault
- Hebgen fault
- Unnamed faults in Hebgen Lake basin
- West Fork fault
- Short section of Madison fault

SRL = 27 km



From Pezzopane and Dawson (1996)

#### 1959 Hebgen Lake Earthquake

MD = 6.7 m (Meyers and Hamilton, 1964)

MD = 5.4 m (Witkind, 1964)



#### Red Canyon fault scarp – 5.8 ft displacement. Photo by J.R. Stacy from http://libraryphoto.cr.usgs.gov

#### 1959 Hebgen Lake Earthquake



AD = 2.5 m (Wesnousky, 2008)

### Data Limitations and Uncertainties for Normal-Slip Earthquakes Worldwide

- Worldwide historic earthquake record has very few large (M<sub>W</sub> > 7.0) events 9
  (Largest M<sub>W</sub> 7.5 1887 Sonora; Next M<sub>W</sub> 7.4 1912 Marmara, Turkey)
- Even fewer events have been instrumentally recorded 6
  (Largest M<sub>w</sub> 7.29 1959 Hebgen Lake; Next M<sub>w</sub> 7.28 1946 Ancash, Peru)
- Limited observations of the short historical record even more problematic in low strain-rate environments (typical for extensional terranes)
- Consider paleoseismic estimates? (e.g., Mason [1996] estimated paleomagnitudes of Ms 6.8 to 7.5 for several dozen faults in ISB; Largest normal-slip earthquake paleomagnitude estimated by Stirling et al. [2002] was M<sub>w</sub> 7.5 for Waiochau, New Zealand event)

#### Discussion – Issue G1: Part 1

1a. Should the upper bound Mmax of MW 7.5 for long unsegmented faults be revised in NHM?

1b. What uncertainties are recommended (i.e., what values and weights to characterize full distribution)?

# Issue G1:

Calculating moment magnitudes for Basin and Range Province faults

Case Study: Wasatch Fault Zone (WFZ)

Chris DuRoss (UGS)

Basin and Range Province Earthquake Working Group II; November, 2011



#### Large Per-Event Displacements

- For single-segment earthquakes, the average displacement (AD) per surface rupture length (SRL) is consistently larger than WC94-based estimates
  - Greater discrepancy for shorter segments
  - Corresponds well with regression of Stirling et al. (2002) censored for small D/SRL earthquakes



#### M Discrepancy – Single-Segment Ruptures

- For single-segment earthquakes,
  M(AD) consistently greater than
  M(SRL or A)
  - Discrepancy greatest for shorter segments



#### **Multi-Segment Ruptures**

#### ➢ M discrepancy less of an issue for MSRs (using observed displacements)



#### Why Important

> ~40–60% more moment released on WFZ using ADs  $(M_0 = \mu^*A^*AD)$  compared to only using SRLs

> Sum of M<sub>0</sub> release for single- and multi-segment rupture models (WGUEP)



### Why Important

- To moment balance, need longer recurrence intervals (RIs) to account for larger displacements/greater M<sub>0</sub> release
  - RIs ~2000-3000 yr using
    M(AD or M0)
  - RIs ~700-1000 yr using
    M(SRL or A)
  - Observed RIs ~1000-1500 yr

From Patricia Thomas (URS). Moment-balanced recurrence intervals for the single-segment rupture model (WGUEP)



### Reducing the M Discrepancy

- Ways to reduce the M discrepancy
  - Reduce AD
  - Increase SRL
- Other options
  - Ignore (cite large stress drops)
  - Take mean of all available M estimates
  - Use different or acquire new regressions


### **Revising Displacement**

- Scale mean displacements using Hemphill-Haley and Weldon (1999) (HHW99)
  - Because of SRL preservation and sampling issues, observed AD > true AD; correct using # obs. and % of fault sampled
  - 10/22 events have sufficient data (2+ obs); correction value (MVCDS) ranges from 0.67– 0.99 (mean 0.8)



### **Revising Displacement**

➢ HHW99 AD correction has minor effect on M discrepancy

- Most significant change: W1 (1.4 to 0.9 m), W3 (2.3 to 1.5 m)
- Minor to no change with shorter SRL segments (BCS, SLCS, NS)



### **Revising SRL**

- Do WFZ single-segment ruptures consistently extend beyond the mapped segment boundaries?
- Example: spill-over rupture Weber segment (WS) earthquake W2
  - Rupture north across segment boundary onto southern ~8 km of Brigham City segment
  - WS SRL increased 6–9 km (11–16%)
    (62–65 km vs. 56 km)



### Revising SRL

➢ What if we underestimated single-segment SRLs by 25%?

- Double the Weber-Brigham City segment spill over =  $\sim 27\%$
- McCalpin and Slemmons (1996): underestimate SRL by ~25% if scarps having  $\leq 10\%$  of maximum displacement ( $\leq \sim 40$  cm) are removed, buried, or obscured



### **Other Options**



\*M (M<sub>0</sub>) =  $2/3 \log(M_0) - 10.7$ ; M<sub>0</sub> =  $\mu$ \*A(SRL\*W)\*AD (AD converted to fault-parallel slip)

### **Other Options**

Other regressions? W&C94 – Normal-fault regressions?

- M(AD-all) > M(AD-normal)
- M(SRL-all) < M(SRL-normal)



### **Other Options**

Other regressions? W&C94 – Normal-fault regressions?

- Discrepancy reduced for shorter segments
- M(SRL) > M(AD) for longer segments, larger displacements



#### Conclusions

#### WFZ M discrepancy

- For single-segment ruptures, and especially SRLs < ~50 km, larger AD per SRL than predicted by WC94,
- M(AD) exceeds M(SRL or A) by 0.1–0.5 M units

Difficult to consistently reduce the M discrepancy

- Insufficient data to consistently apply HHW99 (and has limited effect)
- Increasing SRL helps (especially for shorter segments), but hard to justify
- Using normal-fault-type regressions helps reduce discrepancy for shorter SRLs, but for longer segments M(SRL) > M(AD)
- <u>Best approach</u>: equal weighting of different **M** regressions?
- Other potential factors
  - Regression issues? Small vs. large, dip-slip vs. strike slip, regional vs. global?
  - L vs. W scaling? (D saturate at SRL = W?)
  - Large stress drops?

# Pre-Historic Earthquake Displacement

Glenn Biasi University of Nevada Reno

#### Wasatch Example



Magnitude bias from average displacement for shorter segments.

How much length is needed? MAD -  $M_{SRL} = \sim 0.2$ 

 $L_{AD} = 1.4^*L_{SRL}$ or ADtrue = ADobs/1.4

The extra 0.4 displacement has to be over the full L.



Event 24 from Wesnousky (BSSA, 2008)

Possibility suggested by study of mapped ruptures...





Plot rupture section displacements versus their lengths

Dashed: 0.4 factor scale bar

Sub-section average and maximum displacements plot above expectations for their W&C length.

Somehow most sections adjust their individual displacements to correspond to the final event magnitude. Large ruptures are not made by just linking shorter sections that just happened to be ready to go.

Displacements have to be assigned after any fault-to-fault links are done.



Plot section AD vs. length

Strike-slip events with subsegments in Wesnousky 2008.

Dashed line: 0.4 factor increase in AD

### Non-strike-slip events



- M(AD)>M(L)? Maybe sections are part of larger ruptures.
  - Dynamic stress release for large earthquakes may "extract" or "push" greater offsets.
  - Large earthquakes may sense a larger stress environment and scale displacements to that.



About the larger stress environment: (e.g., Daniels and Hayman, JGR, 2008). Force chains in granular media under strike-slip shear. Photo is detail of larger system. Lighter grains bear more force. Shows support paths in grain-supported media. Note different path lengths. Larger AD on multi-section ruptures may reflect broader stress trajectory of the system.



- Displacement from random sampling in rupture profiles
- Sampling BRP ruptures will rarely fit assumptions of HH&W 1999
  - Rupture extent is not a given
  - Rarely sample unless the surface expression is already clear.
  - Random in the profile, amplitude: Larger offsets are preserved longer.
  - Potential for overestimation is real.

Red: Qualitative region removed by biased sampling.



#### Hemphill-Haley and Weldon, 1999, Figs 1, 10





Zielke et al., 2010, Science

-- LiDAR is changing the rules on the number of displacement observations in ruptures

-- Even this quality of data would not resolve the length to better than about a factor of 2.

-- There is more evidence for characteristic slip than in the past.



#### P(M|Dobs): Biasi and Weldon (2006)



Histogram: sampling displacement at random while the displacements are still clear and abundant.

In time small displacements are preferentially removed. Effect is to increase the sample mean.

Above case assumes mean displacement of a meter. Scarp loss is conceptual, and in practice could be site specific.

Lines describing loss of scarp to be sampled depend on the average displacement. If the mean is 2 m instead of 1 m, less of the smaller than average sampling space is lost.



p(MId) for Three Widths of Uniform p(M) Prior d<sub>obs</sub> 1.00 to 4.00 m

> Scarp loss Negative evidence

Black curves: P(M|Dobs), probability of event magnitude given one observation of displacement (as from a trench)

Scarp loss promotes the probability that a peak was sampled (red, left shift)

Negative evidence (unpublished extension): Improbability penalty that, say, 1 m is observed, but larger displacements are not. This improves Biasi and Weldon (2006) estimates by using additional information.

Magnitude will be overestimated if these effects are not considered.

## Conclusions

- M(AD) > M(L) could be an indication of multiple-segment events favors larger magnitudes.
- Magnitude from one or a few observed displacements can be fuzzy
  - Want to understand the biases in sampling before attempting HH&W magnitude uncertainties.
  - Sampling will never be as random as assumed in HH&W.
- Magnitude distribution from single displacement is an option.
- Scarp loss causes P(M|Dobs) to shift to smaller magnitudes since larger scarps are preferentially preserved and observed.
- Probability of non-detection is an asset for narrowing M(D) estimates improbability of evidence for larger offsets all being lost.

Estimating Surface Lengths for Prehistoric Ruptures in the Basin and Range Province

Craig M. dePolo Nevada Bureau of Mines and Geology

# Need to identify and characterize earthquake segments

# Data set is mapped Quaternary faults

### **Basin and Range Province Faults**

- Commonly structurally complex
- Can be challenges identifying active faults and the extent of faults

### Structurally Complex

- Preconditioned crust (Paleo-Meso),
- Tertiary volcanic activity,
- Many Quat. faults developed in Miocene,
- Changes in stress regime since Miocene, (Walker Lane belt)
- Young faults still linking up.

Challenges Identifying Active Fault Traces

- Blind, incomplete, and variable surface ruptures (distributed faulting, folding, variations in surface displacement),
- Erosion (fluvial, lacustrine, human),
- Burial (alluvial, eolian, lacustrine, human),
- Bedrock ruptures.

Measuring Potential Surface Rupture Length

- Use good dividers for paper maps,
- Main faults only (represent earthquake),
- Straight-line distance through complexity,
- All about identifying the rupture endpoints of earthquake segments.

## **Historical Earthquakes**

Longer (>15-20 km) BRP ruptures are complex and are made up of multiple geometric and structural segments and/or multiple faults. Number of Geometric or Structural Segments Versus Total Rupture Length (km) for Basin and Range Province Earthquakes

### one fault segment common <15-20< two fault segments common <30-50< three segments common <60-110< four segments common

mod. from dePolo and others (1991)

# Analyzing a fault

- Type of fault (sense of displacement),
- Identify and characterize discontinuities,
- Divide fault into segments,
- Characterize fault endpoints,
- Develop earthquake segment models (L), (multiple models to handle uncertainties)
- Weigh the relative likelihood of models.



### 1915 Pleasant Valley earthquake



### Weighing Logic Tree Branches (Earthquake Segment Models)

- Paleoseismic information,
- Probability of discontinuity failure,
  - scale of discontinuity
  - type of discontinuity
  - character of discontinuity
  - number of discontinuities
- Historical analog.



#### 1932 Cedar Mountain Earthquake

Mw 7.1



### 1915 Pleasant Valley earthquake

Date	<b>L</b> (km) <b>Mw</b>		Mlength	MDmax	<b>D</b> max(m)
1872	110	7.6	7.45*	7.32	7
1887	102	7.5	7.41*	7.21	5.1
1915	62	7.3	7.16	7.25*	5.8
1959	28	7.3	6.76	7.24*	5.5
1954d	67	7.1	7.19*	7.23	5.3
1932	75	7.1	7.26*X	6.91* (7.01)	2 (2.7)
1954e	42	6.9	6.96*	7.11	3.7
1954c	53	6.9	7.08*	6.69	1
<u>1983</u>	36	6.8	6.89*	7.01	2.7
1934-H	10	6.6	6.24	6.47*	0.5
1954a	18	6.3	6.54	6.40*	0.4(?)
1934-E	1.7	6.1	5.35	6.47*	0.5(?)
1954b	6.5	6.0	6.02*	6.40	0.4
1950	9.5	5.6	6.21*	6.53	0.6

Calcs. using Wells and Coppersmith (1994) relations for all eqs. \* closer to eq. value.

X doubtful could be measured w/o event
## **Important Points:**

- Type of fault is important for earthquake modeling,
- Larger BRP earthquakes are cascading failures of segments (2-4 segs; 3 disconts. max.),
- Develop and relatively weigh multiple earthquake segment scenarios,
- Maximum surface displacement can help scale paleoearthquakes use it.

Date	L(km)	) <b>Mw</b>	Mlength	MDmax	Dmax(m)
1872	110	7.6			
1887	102	7.5			
1915	62	7.3	7.16	7.25*	5.8
1959	28	7.3	6.76	7.24*	5.5
1954d	67	7.1	7.19*	7.23	5.3
1932	75	7.1	7.26*X	6.91* (7.01)	2 (2.7)
1954e	42	6.9	6.96*	7.11	3.7
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1954a	18	6.3	6.54	6.40*	0.4(?)
1934-E	1.7	6.1	5.35	6.47*	0.5(?)
1954b	6.5	6.0	6.02*	6.40	0.4
1950	9.5	5.6	6.21*	6.53	0.6

Calcs. using Wells and Coppersmith (1994) relations for all eqs. \* closer to eq. value.

X doubtful could be measured w/o event

### Magnitude in the NSHM

- Maximum M and characteristic magnitude based on Wells and Coppersmith (1994) M versus surface rupture length (ALL)
  - M = 5.08 +1.16\*log(SRL)
- Additional epistemic uncertainty ±0.2 M
- Additional aleatoric uncertainty
- SRL (N) yields average difference of -0.024 M
  - M = 4.86 +1.32\*log(SRL)
  - Standard errors are 3X larger than ALL

### Magnitude-scaling relations



### Frequency of Mchar (SRL)



### M(SRL) versus M(MD)



Maximum displacement data from S. Hecker Feb 2010

#### M (MD) versus M (SRL) long flts

M (MD) vs M (SRL) long flts



### M(MD) versus M(SRL)





#### M,f distribution for Oakridge Offshore (2008)

#### BRPEWG Issue S2: Smoothing parameters for NSHM

How should the smoothing of seismicity be handled in the NSHMs? The current seismic hazard maps use a radial smoothing process but recent precarious rock studies in CA and W NV suggest that anisotropic smoothing (i.e., along faults) might be more appropriate. If anisotropic smoothing is used should it be applied universally across the entire Basin and Range province.

#### Morgan Moschetti and Mark Petersen

#### S2 Discussion Outline

- How and why do we include smoothed seismicity models?
- Implementation of smoothing in the 2008 NSHMs
- Issues with smoothing (anisotropy)
- Potential model for extending anisotropic smoothing across IMW

#### Historical seismicity models, CEUS



green zones = 36 km radius = 33% map area blue zones = 14 km radius = 10% map area

(Kafka – see Kafka, 2002)

Future large earthquakes in the CEUS have about 86% probability of occurring within 36 km of past earthquakes, and about 60% probability of occurring within 14 km of past earthquakes.

- Kafka (2005)



# History of smoothed background seismicity in the NSHMs

- Smoothed background seismicity replaced source zones in the 1996 NSHMs (see Frankel, 1995 and Frankel et al., 1996)
- 2008 NSHMs: we calculate 10<sup>a</sup> values from earthquake catalogs at grid points.
- Background seismicity is smoothed using isotropic smoothing function except for three zones where we use an anisotropic smoothing function: (1) Brawley seismic zone; (2) Creeping section, SAF; and (3) Mendocino seismic zone.



#### Smoothing parameters

2-D Gaussian (see Frankel, 1996):

- 50 km "correlation distance"
- 75 km along-strike (major axis), 10 km perpendicular direction (minor axis).
- Example from creeping section, SAF





# Where does smoothed seismicity influence the hazard most?

- Ratio of PGA (2%50yrs) for 2008 NSHM, version III input with and without background (gridded) seismicity
- Fault model sources dominate near faults in CA, western NV, Cascadia, Wasatch.
- Background seismicity contributes more than 60% to PGA hazard across large areas of BRP.
- No CEUS sources



#### Methods of smoothing

- Isotropic
- Anisotropic
- Other methods (Adaptive weighting Stock and Smith; Penalized likelihood – Toro; Felzer – UCERF3)



#### Possible models for NSHM

- 1. Isotropic with three anisotropic zones (2008 NSHM model)
- Isotropic with additional anisotropic zones (based on PBRs, etc.) (as discussed in 2010 UNR-PBR workshop)
- 3. Anisotropic and Isotropic logic tree

#### Developing uniform anisotropicallysmoothed agrids

- Extend 2008 NSHM methodology for anisotropic smoothing across the western US (i.e., smooth seismicity with anisotropic kernels for given strike)
- Calculate spatially-varying fault strike parameters
- Smooth extracted catalogs using standard smoothing parameters (75/10 km) and spatiallyvarying strike parameters.
- Results look at changes in a-values and PGA, for western US and for two regional test cases.

#### Developing uniform anisotropically-smooth agrids: individual line segment fits to faults in NSHM database



- Fit all faults with line segments and calculate "fault data point" separation residual
- Reject fit faults with large residuals (rms>0.3)

Developing regional anisotropically-smoothed agrids: regional line segment fits to faults in NSHM database



- Distribution of accepted line fits to fault sources modeled in NSHMP.
- Use these faults to determine spatially varying strike parameters for anisotropic smoothing.
- Search within fixed radius to define anisotropic fabric across the map (r=100km).

## Developing uniform anisotropically-smooth agrids: spatially-varying fault strikes (r=100 km)



- Mean strike values at all grid points for background seismicity calculations – strike values for anisotropic smoothing.
- NNE orientations in BRP rotating to NNW-NW in Walker Lane and coastal CA. EW orientations in Transverse Ranges
- "Conflicting" fault strikes
- Uncertainties in the measurements may be used to account for large variations in strike.

Developing uniform anisotropically-smooth agrids: spatially-varying fault strikes (r=100 km)



## Developing uniform anisotropically-smooth agrids: earthquake catalogs



 Smoothing background seismicity from multiple catalogs to allow for different GMPEs (strike-slip/reverse for coastal CA and strike-slip/normal for extensional WUS)

## Effects of smoothing method on a-values, coastal CA



## Effects of smoothing method on a-values, extensional WUS



#### Developing anisotropically smoothed a-grids: difference in a-values



• At locations where seismicity exists in the catalog and where a strike direction is inferred from fabric,

#### Developing uniform anisotropically-smoothed agrids: PGA ratio, 2%50y





#### PGA ratio, 2%50y, southern CA



#### PGA ratio, 2%50y, Nevada





## PGA ratio, 2%50y – perturbations at Nevada PBR sites



- PGA ratios: 0.75-1.14
- At PBR sites in NV, 17 sites show reduction in PGA, 8 show increase.
- Consider different smoothing for dip-slip faults?



## PGA ratio, 2%50y– perturbations at Nevada PBR sites





#### Recommendations

- Use combination of anisotropic and isotropic smoothing
- Conduct sensitivity studies for different parameters (e.g., r=50 km, logic tree for mean/mean+/-std weighting on fault strike)
- Test logic tree results using precarious rock data

### **PBRs and Seismic Hazard**

aleatory, epistemic, standard deviation, sigma—characteristic, Gutenberg-Richter, creep, rupture depth, background,

-- all unknown, need data

Rupture rise time, slip weakening distance, rupture velocity, direction of rupture, background stress, frictional stress, dynamic stress history,



Assumed Background Seismicity as a Partial Explanation for Discrepancies Between Precariously Balanced Rocks and 2008 California Seismic Hazard Maps

> By James N. Brune (UNR) Glenn Biasi (UNR) Lisa Grant Ludwig (UCI) Dylan Rood (UCSB and UCI)

### Dylan Rood 20 ka cosmogenic





Figure 3: Field-test to determine the quasi-static toppling acceleration of "Tooth" rock.


# PBR PROBLEMS

- 1. Test the ergodic assumption (randomness)
- 2. Test attenuation relationships
- 3. Test random background earthquake assumptions.
- 4. Determine directions of rupture propagation.
- 5. Constrain hanging wall-foot wall ground motions.
- 6. Constrain step-over ground motions.
- 7. Test UCERF assumptions (Fault activity, fault dips).
- 8. Test frequency of supersonic ruptures.











### Granite Pass 06-94













CALL 2010 May 27 17:26:31 Site Coords:-115680 34.7410 (yellow disk), Nax annual ExcdRate .55965-04 (column height prop. to ExRate), Red damonds: historical earthquakes, Ne6

### **Riverside-Aguanga Line of Precarious Rocks**

















CMI 2010 Jan 22 22:17:50 Distance (R), magnitude (M), epsilon (E0,E) deaggregation for a site on rock with average va= 760. m/s top 30 m. USGS CGHT PSHA2006 UPDATE Bins with It 0.05% contrib. omitted









## Vicinity Map

### USGS Seismic Hazard Map

### Map 1-4: USGS Seismic Hazard Map

% G





### USGS Seismic Hazard Map

### Map 1-4: USGS Seismic Hazard Map

% G









Photo by Jim Brune

#### Fort Sage Fault Zone Precarious Rock



#### Fort Sage Mountains



Examples of precarious rocks found in the vicinity of Honey Lake fault.

#### Constraints on Normal and Trans-tensional Faulting







GMI 2010 Sep 22 18:52:33 Distance (R), magnitude (M), epsilon (E0,E) deaggregation for a site on rock with average va= 760. m/s top 30 m. USGS CGHT PSHA2006 UPDATE Bins with It 0.05% contrib. omitted



C 2010 Sep 22 18:53:66 Distance (R), magnitude (M), epsilon (E0,E) deaggregation for a site on rock with average va= 760. m/s top 30 m. USGS CGHT PSHA2006 UPDATE Bins with It 0.05% contrib. omitted



C11 2010 Sep 22 18:45:37 Distance (R), magnitude (M), epsilon (E0,E) desgregation for a site on rock with average va= 760. m/s top 30 m. USGS CGHT PSHA2008 UPDATE Bins with It 0.05% contrib. omitted


C 2010 Sep 22 18:47:26 Distance (R), magnitude (M), epsilon (E0,E) deaggregation for a site on rock with average va= 760. m/s top 30 m. USGS CGHT PSHA2006 UPDATE Bins with It 0.05% contrib. omitted







# Background Seis.





<sup>2010</sup> Jan 29 13:55:03 2007 rates for WUS shearzone sources. Blue lines virtual fits. Area3new.agrid CA-NV.







Catalog used for 2008 NSHM (wmm.cch): M>=4 (accounting for completeness)

## **Colorado** Plateau





GMT 2011 Oct 17 16:06:55 Distance (R), magnitude (M), epsilon (E0,E) deaggregation for a site on rook with average vs=780. m/s top 20 m. USGS CGHT PSHA2008 UPDATE Bins with it 0.06% contrib. omitted



#### 50 km smoothing



10<sup>ai</sup>/cell/yr cstcal zone (0.1,50,3,cat=wmm\_cstcal.cch)









CALL 2010 May 27 17:26:31 Site Coords:-115680 34.7410 (yellow disk), Nax annual ExcdRate .55965-04 (column height prop. to ExRate), Red damonds: historical earthquakes, Ne6



GMT 2011 Oct 17 16:40:29 Distance (R), magnitude (M), epsilon (E0,E) deaggregation for a site on rook with average v= 780. m/s top 30 m. USGS COHT PSHA2008 UPDATE Bins with it 0.06% contrib. omitted







GMT 2011 Oct 17 16:42:43 Distance (R), magnitude (M), epsilon (E0,E) deaggregation for a site on rook with average vs=780. m/s top 30 m. USGS CGHT PSHA2008 UPDATE Bins with it 0.05% contrib. omitted





#### Normalized to 100



# Granite Geology



#### **Riverside-Aguanga Line of Precarious Rocks**









Catalog used for 2008 NSHM (wmm.cch): M>=4 (accounting for completeness)

# HAZARD ON SOLID ROCK

LAS VEGAS AREA











### SEARCHLIGHT







GMT 2011 Oct 11 17:02:47 Distance (R), magnitude (M), epsilon (E0,E) deaggregation for a site on rook with average vs=780. m/s top 20 m. USGS CGHT PSHA2008 UPDATE Bins with it 0.05% contrib. omitted






CIMI 2011 Oct 11 17:04:02 Dictance (R), magnitude (M), epclion (E0,E) deaggregation for a site on rook with average v= 780. m/s top 30 m. USGS CGHT PSHA2008 UPDATE Bins with it 0.06% contrib. omitted









# YUCCA MOUNTAIN



116\*28'0'W

116\*27'30'W

116\*27 D'W

116\*26'30'W





# Rock varnish >10.5 kg

















GMT 2011 Oct 14 17:34:27 Distance (R), magnitude (M), epsilon (E0,E) deaggregation for a site on rook with average vs=780. m/s top 30 m. USG8 CGHT P3HA2008 UPDATE Bins with it 0.06% contrib. omitted

















#### PRELIMINARY SUGGESTIONS

- 1. Reduce smoothing distance to 20 km
- 2. Eliminate small earthquakes along faults from background.
- 3. Mmax for a given period (2500 yrs?) should be based on geology (with epistemic uncertainty)
- 4. Define two types of background seismicity zones as
  - A. areas where active faults exist, but are poorly mapped (e.g., Offshore)
  - B. areas without known active faults (e.g. SC-ARIZ BZ)

# Modeling Graben-bounding Faults in the NSHMs

BRPEWGII Meeting Issue G2 Discussion Leaders: Kathy Haller and Mike Hylland





## The question:

How should antithetic fault pairs be modeled in the NSHMs? For example, what is the relation and seismogenic significance of fault pairs such as the East and West Cache faults, and strands of the Salt Lake City segment of the Wasatch fault and the West Valley fault zone?

## The problem:



- Graben-bounding pairs are too close to avoid faults intersecting at depth
  - faults closer than 17 km will intersect if both dip 60°
  - faults closer than 25 km will intersect if both dip 50°
  - faults closer than 36 km will intersect if both dip 40°

Both sources were projected below their intersection to a depth of 15 km in prior hazard maps.

Some source pairs that dip 40° in the 2008 model intersect at depths as shallow as 1.6 km

## How we got here:



Change in modeling assumptions in the 2008 maps to include dip uncertainty for normal faults increased the number of intersecting fault pairs

# Intersecting pairs in 2008 model



# Key questions:

Do graben-bounding fault pairs move together, separately, or both?

Can we tell which fault is the master fault?

Are some alternatives unviable--what is the minimum width for a fault to be considered capable of generating independent earthquakes?

> What method do we use to determine M on truncated faults?

## Historical Analogs for Antithetic Faulting in the Basin and Range Central Nevada Seismic Zone (1903 and 1954)



### Devil Canyon, Idaho (August 1984)



M 5.8 main shock considered a late aftershock of the M 7.3 Borah Peak earthquake (Oct. 1983)

Down-to-southwest normal slip on Challis segment of Lost River fault

#### M 5.0 aftershock occurred 17 days after the M 5.8 main shock

Involved normal slip on antithetic Lone Pine fault

### Devil Canyon, Idaho (August 1984)



(Payne and others, 2004)

Antithetic faulting considered triggered slip

• separate earthquake with its own moment release

Antithetic slip restricted to Challis fault hanging wall



(Payne and others, 2004)

Irpinia (Campania-Basilicata), Italy (November 1980)



#### M 6.9 earthquake comprising numerous sub-events

- Rupture initiated as down-to-northeast normal slip on Carpineta fault
- At ~40 s, normal slip occurred on antithetic intrabasin fault

Irpinia (Campania-Basilicata), Italy (November 1980)

#### Antithetic faulting considered coseismic

 contributed moment (~12%) to the earthquake as a whole

No surface rupture associated with antithetic faulting



(Westaway, 1992)

Hansel Valley, Utah (March 1934)



M 6.6 earthquake involving mostly down-to-east surface faulting (but strike-slip focal mechanism)

• No rupture documented along North Promontory fault, to which Hansel Valley fault is antithetic

Antithetic faulting appears to have been independent

• Absence of movement of the main range-bounding fault

1934 M 6.6 Hansel Valley Earthquake: Analog for Antithetic Fault Rupture?

## Chris DuRoss Mike Hylland



Working Group on Utah Earthquake Probabilities June, 2011



## **Geologic Observations**

- 5–8-km-long, NE-oriented zone of ground cracks and minor surface faulting
- Down-to-the-east scarps related to 1934 earthquake
  - Maximum vertical displacement:
     ~50 cm, mostly down to the east
  - Maximum strike-slip displacement: ~25 cm (poorly documented)
- No reports of rupture along prehistoric rupture to the north, which has evidence of larger displacements (1+ m)




#### **Seismologic Observations**

- Left-lateral strike-slip on nearvertical, NE oriented fault
- Rupture length: ~11 km using rupture time and velocity; NE propagation?
- Average horizontal slip: 2.3 m using seismic moment (M<sub>0</sub> = rigidity\*area\*slip)
- Average vertical slip: 20–25 cm using focal mechanism



#### **Other puzzle pieces**

- Bathymetry and shoreline data (1850–1934):
  - 1-m increase in water depth, no change to south
  - ~2-m decrease in relative shoreline elevation
- Re-leveling of railroad grade (after 1934)
  - ~0.3–0.4 m of subsidence east of rupture.



#### **Other puzzle pieces**

- 1909 M ~6 Hansel Valley earthquake
  - No report of surface rupture, but newspaper report of waves passing over 3.5-m high railroad trestle
  - A: Bathymetry & shoreline data (1850–1934) could include displacement from this event
  - B: Linear shoreline south of 1934 rupture (and epicenter) suggests down-to-the-west faulting.
  - C: Lineaments and down-tothe-west scarps east of 1934 rupture related to 1909 earthquake?



#### **Remaining Questions**

- Was the1934 M 6.6 earthquake a normal or strike slip event?
  - Normal surface rupture (~5–8km L, 0.5 m vertical D)
  - Strike-slip focal mechanism (~11-km L, ~2 m horizontal D)
- Did the 1934 event occur as a strike slip event, only initiating normal faulting (or non-tectonic slip?) near the northern end of the rupture?
- How does the 1909 M~6 earthquake fit in? Did this event rupture faults in Spring Bay?



#### Speculation...

- Possible kinematic model: the 1934 earthquake was a dominantly strike-slip event that released strain accumulated between two normal faults.
- Bottom line: The 1934 earthquake has too many remaining questions to be a well-behaved poster child for antithetic-fault rupture.



How is strain accommodated on conjugate normal fault systems, particularly near fault terminations and in overlap zones?



(Payne and others, 2004, after Nicol and others, 1995)



(Nicol and others, 1995)

#### **Example: Timor Sea**

- Normal faults, up to 10s of kilometers long, throws up to 400 m
- Crossing conjugate normal faults imaged by 2D, 3D seismic reflection in upper 3.5 km of crust
- Faults accommodate extension associated with subduction of Australian plate
- Many larger faults originated by reactivation and upward propagation of Late Jurassic normal faults





Kinematic Model (Nicol and others, 1995, after Horsfield, 1980)

- Inter-fault volumes undergo significant ductile strain
- "Ductile" is scale-dependent term
  - "Concept of brittle deformation (rigid blocks translated along faults) is valid only for the microscopic scale"
- In intersection zone, cumulative displacement is distributed among numerous individual slip surfaces; new surface generated in each slip event
- Radius of curvature of bends in fault surface is limiting factor; i.e., eventually new fault will form
- Slip on main faults considered simultaneous (on geologic time scale)



#### 2D Modeling of Ferrill and others (2000)

- Simultaneous movement of conjugate fault pairs requires volume change in intersection area
- Alternating sequential movement is preferred model
- Several outcrop-scale examples provided





#### Or?



#### West Valley Fault Zone – Salt Lake City Segment

Crossing conjugate normal faults, or listric master fault (with splays) and truncated antithetic fault in hanging wall?

#### Pertinent questions:

- Fault dip
- Depth to intersection zone
- Horizontal separation of fault traces vs. vertical offset of faults
- Reactivation of pre-existing structure
- Map patterns of fault traces

(Ferrill and others, 2000)

(Bruhn and Schultz, 1996)

#### Metrics to differentiate master and subsidiary faults

- Fault length
- Percent of along-strike overlap
- Topographic relief
- Short-term slip rate based on paleoseismology is not always diagnostic



SLC segment of the Wasatch and West Valley sources

# Fault length



### **Overlap of fault planes**



# Topographic relief



## Assigned slip rate



# Depth of truncation



## Magnitude comparison



### Sensitivity study SLC segment and West Valley fault zone



### Conclusions

- Historical record suggests that graben-bounding faults do not behave in a predictable manner
- It is possible that none of these historic earthquakes provide an analogy for the seismic potential of the West Valley fault
- If one source is truncated, the hazard will noticeably decrease in the surrounding area

### Discussion

- Are graben-bounding pairs properly modeled in the NSHMs?
- > What other sensitivity studies are needed?
- Should the USGS modify how M is assigned to the truncated fault?
- Should there be a minimum M?

### Consensus recommendation(s) to the USGS





# **Topic S3** — Does the Rate of Earthquakes Represented on the NSHMs Need to Match the Rate of Historical Earthquakes?

### BRPEWG II

### Ivan G. Wong

Seismic Hazards Group URS Corporation Oakland, CA



Salt Lake City, UT

14 November 2011

# **Potential Issues**

Comparison is made over the Intermountain U.S. Should such a comparison be made given very strong regional variations (e.g., Yellowstone)?

Variable historical earthquake catalog completeness in Intermountain U.S.

Historical records in Intermountain U.S. do not sample a complete seismic cycle anywhere.

> Are USGS recurrence models for faults an issue?

Is USGS background Mmax too high?



## Earthquake Recurrence Models



# Recurrence for the Wasatch Fault



## Recurrence for the Wasatch Front



5

# **Wasatch Front Observations**

- The Wasatch Front historical seismicity record is consistent with the paleoseismic record for large surface-faulting earthquakes.
- The seismic source characterization for both the Wasatch fault and the Wasatch Front overpredict the recurrence of M 7 earthquakes compared to the historical record.
- There are few moderate earthquakes (M 6-6.5) in the Wasatch Front catalog. Catalog incomplete?



# **Wasatch Front Observations**

- Given the short duration of the historical record, should we expect a good comparison between the historical record and the predicted rate of moderate to large earthquakes?
- If yes, are we overestimating the slip rates of faults in the Wasatch Front????



# BRPEWG II - Topic S3

Does the rate of earthquakes represented on the NSHMs need to match the rate of historical earthquakes?

**Discussion Leaders: Ivan Wong & Chuck Mueller** 

#### **USGS WUS hazard model**

#### Faults (slip-rate or recurrence data)

- IMW: ~ 300 crustal faults
- PNW: crustal + megathrust
- CA: WGCEP

Distributions for  $M_{char} >= 6.5$ Distribution for dip: 40, 50, 60 deg 67% char & 33% GR for CA+IMW

Ground-motion relations

Crustal: NGA

Megathrust: Zhao + Youngs + AB03

In-slab: Geomatrix + BA00

&

Site condition: 760–m/s

Shallow Seismicity (d < 35 km)

- 1) Declustered catalog  $M_w >= 4$
- 2) Completeness:

Coastal CA: 1933, 1900, 1850

Other WUS: 1963, 1930, 1850

- (3) b = 0.80
- 4)  $10^a$  grids (Weichert):
  - Coastal CA
  - Extensional WUS
  - Non-extensional WUS
  - Adjust for mag uncertainty & rounding
  - Background "floor" in 5 zones
- 5) 50-km smoothing (+ anisotropic)

 $M_{max} = 7.0$  mostly, < 7.0 near faults

#### **Deep Seismicity**

#### **Geodetic Sources**

### Pre-2008 California bulge

- 2008 "fix":
  - Reduce moment rate on A & B faults 10% to account for aseismic slip or aftershocks
  - 1/3 factor on background seismicity for M<sub>w</sub> 6.5+
  - These changes (+ fault changes) reduce the model rate, bringing it within the 95% confidence limits of the historical rate
- Possible future fix (WGCEP): link faults together to shift moment out of the M ~6.5 range

This got us wondering: Do we have a bulge problem in the intermountain west?

Compare model & historical earthquake rates in WUS test zone (standard sanity check on any seismic hazard model...)








M



#### **Observations & Interpretation**

- ✓ 2002 model bulge: factor of ~3 near M 6.5
- Changing Ch / G-R from 50% / 50% to 67% / 33% + the 1/3 factor on seismicity for M > 6.5 reduces model bulge to factor of ~2
- Changing *b* from 0.80 to 0.85 reduces model rate for M < ~6.5, but doesn't change it for M > 6.5. Does this suggest that, compared to California, the contribution of seismicity relative to faults for M > 6.5 is smaller? If so the 1/3 factor on seismicity M > 6.5 that reduced the bulge in California might be less effective in IMW.

#### **Questions:**

- ✓ Should we worry about a bulge?
- How will potential changes to the model already being considered affect rates ~ M 6.5? (changing dips?, fixing fault intersections?, getting characteristic magnitudes from area rather than SRL for some faults?, b = 0 branch for G-R?, other?)
- ✓ Should we reduce the G-R percentage further?
- ✓ Should we regionalize *b* values?
- ✓ Other fixes?

# Addressing Slip-Rate Uncertainty in the NSHM

BRPEWG II meeting Issue G3 Discussion Leaders: Steve Wesnousky Kathy Haller

# The Question

• The USGS seeks guidance on how to estimate the uncertainty for the slip rates on BRP normal-slip faults, especially for faults that have little or no slip-rate data. The method used in California to estimate the uncertainty has varied the upper and lower bounds of the slip rate by plus-orminus 50%. Thus the uncertainty bounds for a fault that has a slip rate of 5 mm/yr would be 7.5 mm/yr and 2.5 mm/yr. Do these bounding values encompass the fifth and ninety-fifth percentiles for this fault?

### Outline

Review UQFWG slip-rate uncertainties

 Sensitivity studies of UQFWG determinations

Slip-rate uncertainties for IMW sources

 Examples of paleoseismic records suggesting clustering

# **UQFWG** uncertainties

• Working group reviewed all faults in Utah that had been trenched prior to 2003 and reported min, max, and preferred slip rates for 21 sources Slip rate uncertainty represents 5 and 95 percentiles • The range of the model compares average slip rates that cover different time periods and/or different offset markers along a given fault

# **UQFWG** uncertainties

 Reported uncertainties reflect consensus view of clustering

Minimum slip rates are from out of cluster series

Maximum slip rate are from in-cluster series

#### UQFWG consensus slip rates



#### Data from Lund 2005

# Consensus slip rate and recurrence intervals

**UQFWG** consensus



Data from Lund 2005

# Log of slip rate



Data from Lund 2005

# **UQFWG** slip-rate ratio



# IMW slip-rate uncertainties



# IMW slip-rate ratio



# **Clustering or Poisson**

• "preponderance of evidence that some faults don't have regularly spaced events in time"—Zechar and Frankel 2009 • "For short series (fewer than 10 intervals) sample means tend to reflect the median of an asymmetric recurrence distribution possibly leading to an overestimate of the hazard." – Parsons 2008 • Faults will yield different long-term slip rates depending on how far back (how many earthquakes) we are able to extend the paleoseismic record.

# Clustering and slip rate



from Anderson and others 2004

 In cluster slip rate 0.56 mm/yr spanning 12% of the record 2-3 events total offset 5 m

 Out of cluster slip rate 0.8 mm/yr spanning 70% of the record unknown number of events total offset 4.1 m

# Slip-predictable model



# Data uncertainty



Event timing from Lund 2005

### Another slip-predictable model



Event timing from Lund 2005



• "Skewed distributions are particularly common when mean values are low, variance is large, and values cannot be negative" • "What is the difference between normal and lognormal variability? Both forms of variability are based on a variety of forces acting independently of one another. A major difference, however, is that the effects can be additive or multiplicative, thus leading to normal or log-normal distributions, respectively."  $\circ$  --Limpert, Stahel, and Abbt 2001

### Preferred slip rates—UQFWG



PGA 2% in 50 yr
Includes 1/3 GR and 2/3 Char
760 m/sec Vs30
Sources (white lines) have ±10° dip uncertainty

### Alternative slip rates—UQFWG

#### **5 PERCENTILE SLIP RATE**

#### **95 PERCENTILE SLIP RATE**



# Discussion

Should we expect slip rate uncertainty to have normal distributions?

 UQFWG estimates and uncertainty compiled for surrounding states demonstrate considerable spread, but are in broad agreement. Does assigning ±50% make sense for IMW slip-rate uncertainties?

Which paleoseismic records are long enough to evaluate clustering?

# IMW slip-rate uncertainties



#### BRP EWG II Introduction to Issue S4

#### Magnitude Uncertainty

John G. Anderson Chuck Mueller



#### Issue S4

 Issue S4: What are the sources and levels of uncertainty in the earthquake magnitudes contained in the seismicity catalogs used in the NSHMs?

#### Outline of presentations

- Introduction: Anderson
- Introduction: Chuck Mueller
- Utah: Walter Arabasz
- Montana: Mike Stickney
- Nevada: John Anderson & Glenn Biasi
- Suggested path forward: Anderson et al.

Why do the sources and levels of uncertainty matter?

# National Hazard Map finds annual exceedance rate

```
\lambda_{C}(Y)
```



General integral to calculate  $\lambda_{C}(Y)$ 

$$\lambda_{C}(Y) = \iint n(M, r_{flt}) \Phi(y \ge Y | \hat{Y}(M, r_{flt}), \sigma_{T}) dM dr_{flt}$$

 $n(M,r_{flt})$ 

Seismicity model

 $\Phi(y \ge Y | \hat{Y}(M, r_{flt}), \sigma_T)$  Ground motion prediction equation

Magnitude uncertainties can introduce a systematic bias into the seismicity model.

For a perfectly calibrated, unbiased magnitude scale ...

- Inherent variability in M from variable amplitudes at contributing stations
- Roundoff in catalog preparation

• Both introduce a systematic bias in n(M)

#### Source of bias in $M_W$

- Systematic bias in M<sub>network</sub> might depend on
  - Distance
  - Coverage
  - Calibration of instruments
- Conversion from M<sub>network</sub> to M<sub>W</sub>
  - Bias in conversion equations
  - Correlation coefficient smaller than 1.0

# Estimating M<sub>network</sub>

- Network magnitude may be m<sub>coda</sub>, m<sub>L</sub>, or M<sub>W</sub>.
- Consider an example of estimating M<sub>W</sub>
  - Locate earthquake
  - Calculate synthetic Wood-Anderson response at several stations
  - Apply distance correction to find M<sub>L,i,c</sub> for component c at station i.

$$- M_W = \frac{1}{N} \sum_{i,c}^N M_{W,i,c}$$

This gives an unbiased estimate of the magnitude.

#### Example

- Station Magnitudes:
  - 2.84
  - 3.12
  - 2.59
  - 3.63
- Mean: 3.05
- σ = 0.45
- $\sigma_v = 0.32$



#### Continuing the example

- M = 3.05
- $\sigma_v = 0.32$
- For b=1, how many quakes with magnitude
  - $M \sigma_v : 2.79$
  - $M + \sigma_v : 0.36$
  - So: about 8 times as many earthquakes that are 1 sigma smaller than are 1 sigma larger than 3.05.
- This event might have M=3.05, but if it is not exactly that, there is a larger chance that its magnitude is smaller than that it is larger.
## Tinti and Mulargia (1985)

- For a region in which N(M)=a-bM, with uncertainty  $\sigma_v$ ,
- What is observed is:  $\log N = a bM + \frac{b^2 \sigma_v^2}{2 \log e}$
- This gives the basis for adjusting the background seismicity rate

## **BRPEWG II - Topic S4**

What are the sources and levels of uncertainty in the earthquake magnitudes contained in the seismicity catalogs used in the NSHMs?

Discussion Leaders: John Anderson & Chuck Mueller

#### Why it matters – Case 1

- Measurement uncertainty => biased rates
- Example: With uncertainty and an exponential freqmag distribution, a reported magnitude 5 eqk is more likely to be true 4.9 than 5.1
- Decrease a by a factor that depends on b and measurement sigma (Tinti&Mulargia, Felzer):

 $a^* = a - 0.5^* \ln(10) * b^2 * sigma^2$ 

 $(m^* = m - 0.5^* \ln(10) * b * sigma^{2})$ 

## Why it matters – Case 2

- Conversion uncertainty => biased rates
- Convert  $I_e$  to m, and consider the rate of eqks with m > some  $m_t$ . Simple conversion will only count eqks with  $I_e >$  corresponding  $I_t$ . With uncertainty, however, smaller eqks can contribute  $m > m_t$ , and with an exponential freq-mag distribution these far outnumber large eqks (which do the opposite).
- Increase a by the same factor (but with conversion sigma) (Veneziano&VanDyck, McGuire):

 $a^* = a + 0.5^* \ln(10) * b^2 * sigma^2$ ( $m^* = m + 0.5^* \ln(10) * b * sigma^2$ )

# Simulation Example ...

#### Example from McGuire (2004): What is the rate of earthquakes with m > 4.6? Assume:

- 1) Earthquakes are designated by epicentral intensity,  $I_e$  (convenient to use decimal values)
- 2) Convert  $I_e$  to magnitude:  $m_c = 1.3 + 0.6 I_e$  (G-R, from global data)
- 3) beta = 2.0 (b=0.87) and sigma = 0.6, so  $m^* = m_c + 0.5^* 2^* 0.6^2$  (=> b=0.87\*0.6=0.52 for  $I_e$ )

MMI	$I_e$	п	m <sub>c</sub>	<i>n</i> [ <i>m<sub>c</sub></i> >4.6] "deterministic"		
III	2.6-3.4	699	2.9-3.3	0		
IV	3.6-4.4	211	3.5-3.9	0		
V	4.6-5.4	63	4.1-4.5	0		
VI	5.6-6.4	19	4.7-5.1	19		
VII	6.6-7.4	6	5.3-5.7	б		
VIII	7.6-8.4	2	5.9-6.3	2		
total		1000		27		

#### Example from McGuire (2004): What is the rate of earthquakes with m > 4.6? Assume:

- 1) Earthquakes are designated by epicentral intensity,  $I_e$  (convenient to use decimal values)
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MMI	$I_e$	п	m <sub>c</sub>	<i>n</i> [ <i>m<sub>c</sub></i> >4.6] "deterministic"	prob [ <i>m</i> >4.6]	<i>n</i> [ <i>m</i> >4.6] "exact"	
III	2.6-3.4	699	2.9-3.3	0	0.006	4	
IV	3.6-4.4	211	3.5-3.9	0	0.06	13	
V	4.6-5.4	63	4.1-4.5	0	0.29	18	
VI	5.6-6.4	19	4.7-5.1	19	0.63	12	
VII	6.6-7.4	6	5.3-5.7	б	0.83	5	
VIII	7.6-8.4	2	5.9-6.3	2	0.99	2	
total		1000		27		54	

#### Example from McGuire (2004): What is the rate of earthquakes with m > 4.6? Assume:

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MMI	$I_e$	п	$m_c$	<i>n</i> [ <i>m<sub>c</sub></i> >4.6] "deterministic"	prob [ <i>m</i> >4.6]	<i>n</i> [ <i>m</i> >4.6] "exact"	$m^*$	<i>n</i> [ <i>m</i> *>4.6] "approx"
III	2.6-3.4	699	2.9-3.3	0	0.006	4	3.2-3.7	0
IV	3.6-4.4	211	3.5-3.9	0	0.06	13	3.8-4.3	0
V	4.6-5.4	63	4.1-4.5	0	0.29	18	4.4-4.9	29
VI	5.6-6.4	19	4.7-5.1	19	0.63	12	5.0-5.5	19
VII	6.6-7.4	6	5.3-5.7	б	0.83	5	5.6-6.1	б
VIII	7.6-8.4	2	5.9-6.3	2	0.99	2	6.2-6.7	2
total		1000		27		54		56

## Why it matters — Case 3

- From ~1900–1940 in California it was observatory practice(?) to round magnitudes to the nearest 1/2 or 1/4 mag unit
- Adjust rates by "unrounding" into a binned exponential freq-mag distribution

#### **USGS WUS hazard model**

#### Faults (slip-rate or recurrence data)

- IMW: ~ 300 crustal faults
- PNW: crustal + megathrust
- CA: WGCEP

Distributions for M<sub>char</sub> 67% char & 33% GR for CA+IMW

#### Ground-motion relations

Crustal: NGA

Megathrust: Zhao + Youngs + AB03

In-slab: Geomatrix + BA00

&

Site condition: 760–m/s

Shallow Seismicity (d < 35 km)

- 1) Declustered catalog  $M_w >= 4$
- 2) Completeness:
  - Coastal CA: 1933, 1900, 1850
  - Other WUS: 1963, 1930, 1850
- (3) b = 0.80
- 4)  $10^a$  grids (Weichert):
  - Coastal CA
  - Extensional WUS
  - Non-extensional WUS
  - Adjust for mag uncertainty & rounding
  - Background "floor" in 5 zones
- 5) 50-km smoothing (+ anisotropic)
- $M_{max} = 7.0$  mostly, < 7.0 near faults

#### **Deep Seismicity**

#### Geodetic zones

#### **WUS** catalog

Source catalogs in preference order:

- Pancha etal (2006): ~200 eqks,  $M_w$  4.8+, 1855-1999 (new  $M_w$  estimates; we like this kind of study <=> 2006 IMW wksp)
- CGS updated (Felzer&Cao,2007): ~2070 eqks, M<sub>w</sub>&m<sub>L</sub> 4+, 1769-2006 (controls hazard from seismicity in CA)
- Engdahl & Villasenor (2002): 18 eqks, mag 5.5+, 1900-1999
- Stover & Coffman (1993): ~110 eqks, mag 4.5+ and/or MMI VI+, 1769-1989
- Stover, Reagor & Algermissen: ~150 eqks, mag 3.0+, 1857-1986 (includes many smaller eqks than Stover & Coffman)
- PDE: ~550 eqks, mag 3.2+, 1960-2006 (updates since 1996)
- DNAG: ~150 eqks, mag 4+, 1808-1985

#### WUS catalog

- 1) Convert magnitude to M<sub>w</sub> as needed
- 2) Concatenate, sort, remove duplicates
- 3) Decluster and delete non-tectonic events
- We don't make an M\* catalog; adjust rates ("agrid") instead

#### We do correct for Case 1 (measurement sigma)

- ✓ This is right for directly measured  $M_w$ . Is it OK for  $M_w$  assumed equal to directly measured  $m_L$  or  $m_b$  or  $M_s$ ?
- ✓ For WUS we borrow crude, era-based *sigma* estimates from Felzer's California work: *sigma* = ~ 0.1 since 1964, ~ 0.2 since 1932, and ~ 0.3 pre-1932. (Felzer uses these generic values when eqk-specific *sigma i*sn't available.) Rates decrease ~ 2-15%.
- $\checkmark$  This sort of granularity in time is probably fine.
- But do we need better sigmas? Regional variations?
   Magnitude-range variations?





#### McGuire's comment on Case 1...

"Veneziano and Van Dyck (EPRI, 1986) also provide a procedure for incorporating the uncertainty caused by direct estimates of an instrumental magnitude. Because instrumental values of *sigma* are generally small, this correction is small, and it is accurate to use  $m^* = m$  for direct instrumental measurements..."

#### We <u>do not</u> correct for Case 2 (conversion *sigma*)

This would require keeping track of the magnitude conversions used in the development of the WUS moment-magnitude catalog, and estimating their uncertainties. (Perhaps borrow conversion sigma values from CEUS-SSC:  $m_{b}=0.24$ ,  $M_{S}=0.20$ , FA=0.22,  $I_0 = 0.5, M_C \& M_D \& M_I = 0.22 - 0.25, M_I (GSC) = 0.42).$ 

#### We do correct for rounding

✓ For 1900–1941 & listed magnitude = x.0 or x.5 ,we "unround" into a binned exponential distribution (0.01 mag-unit bins, from -0.25 to +0.25 mag units)
 ✓ Felzer uses an alternative monte-carlo approach

#### PDE

Since 1983, NEIC  $m_b$  and  $M_S$  average magnitudes have been computed using a 25% trimmed mean, because studies have shown that the distributions are heavy-tailed and non-Gaussian (too many data points outside a normal standard deviation). This is reflected by the observation that individual station magnitudes vary by one order of magnitude or more from the mean. We believe that this is largely due to focal mechanism and geologic structure, rather than to errors in the data.

Contributed local magnitudes and locations are edited for gross errors, but are otherwise published as received. We usually have no information about the uncertainties of these solutions.

[Paraphrased from: Earthquake Bulletins and Catalogs at the USGS National Earthquake Information Center by Sipkin, Person & Presgrave (May 2000) (earthquake.usgs.gov/regional/neic/neic\_bulletins.php)]

- As of Jan 2012, the PDE will be replaced by a massive upgrade of the ANSS catalog: graphical user interface, clickable access to information about each eqk
- Upcoming Herrmann, Benz & Ammon BSSA paper: Moment-tensor solutions for ~ 160 IMW earthquakes M<sub>w</sub> 3.5+; about 5 eqks 1960-1990, but most are in the broadband era since ~1995.

# **Questions:**

- Modern observatory practice; modern estimates of measurement *sigma*?
- Historical observatory practice; historical estimates of measurement *sigma*? Was rounding used in WUS outside of California?
- Case 1: Is Case 1 as broadly applicable as we assume? Are the generic uncertainty estimates from California good enough? Regional variations? Magnitude-range variations?
- Case 2: Should we implement it? If so, what conversion sigmas to use?
- (And always keep in mind: we're focused on mag > 4.)

## University of Utah Earthquake Catalog — Magnitude "Uncertainties" and Comparison with the NSHM Catalog

Walter Arabasz



BRPEWG II November 16, 2011

# Outline

- I. Why are magnitude uncertainties important?
- II. Overview of the University of Utah Seismograph Stations (UUSS) earthquake catalog
- III. UUSS magnitudes (historical: M<sub>L (I<sub>o</sub>)</sub>; instrumental: M<sub>L</sub>, M<sub>C</sub>, and M<sub>w</sub>)
- IV. Preliminary comparison between UUSS and NSHM catalogs (and magnitudes)

# Why are magnitude uncertainties important?

- Recurrence calcs for rigorous hazard and risk analyses require an adjustment for magnitude uncertainties because they introduce bias (*a*-values are systematically overestimated)
- Bias arises because errors in magnitude estimates are normally distributed while earthquake counts in magnitude bins are exponentially distributed
- Magnitude uncertainties come from: (1) statistical average of measurements made at a number of stations and (2) conversion from one magnitude scale to another; errors also from rounding

### "observed" counts > true counts



If Gaussian error is added to true magnitudes, **a net increase in the observed counts in a bin** results due to relative change in counts across the left-hand side of the bin compared to the right-hand side



# Equivalent approaches to ensuring unbiased recurrence rates



Published equation incorrectly shows b<sup>2</sup>

 $\gamma^2 = \beta^2 \sigma^2 / 2$ where  $\beta = b / \log_{10} (e)$ 

# Equivalent approaches to ensuring unbiased recurrence rates



 $\gamma^2 = \beta^2 \sigma^2 / 2$ where  $\beta = b / \log_{10} (e)$ 



Adapted from Youngs (2011)

\* Published equation incorrectly shows b<sup>2</sup>

# Need $\sigma$ and *b*-value for the bias correction

- For an adopted scale (say M<sub>W</sub> or M<sub>L</sub>≈ M<sub>W</sub>) and for observed magnitudes: need to know σ<sub>stations</sub>, the standard error of estimate of magnitude based on measurements at multiple stations.
- When converting from one magnitude scale to another, need to know σ<sub>regression</sub>, the std error of estimate for the regression.

In this case, for the normally-distributed magnitude errors

$$\sigma = \sqrt{\sigma_{regression}^2 - \sigma_{stations}^2}$$





# "Utah Region" corresponding to bounds of UUSS earthquake catalog

1850 to present

Sources: ANSS catalog (1932- July 2006) M 3.0+; NEIC Significant U.S. Earthquakes (1568 - 1989) M 6.0+; and Smith and Arabasz (1991) M 5.5+ in ISB.

## Seismographic Coverage



Wood-Andersons at LOG (1955), PCU(1962), DUG (1963), SLC (1976)





## **UUSS Earthquake Catalog**

Historical Catalog: 1850 - June 1962

 Mostly based on felt reports
 Some instrumental locations and magnitudes from the U.S. Coast and Geodetic Survey, others

Instrumental Catalog: July 1962 - present

 From analog records (photographic paper, or develocorder film): July 1962 -1980
 From digital records: 1981 - present

## **Magnitudes in UUSS Catalog**

Historical Catalog (1850– June1962)

 Most magnitudes estimated from maximum Modified Mercalli Intensity (INT) using
 M<sub>10</sub> = (2/3) INT + 1 (Gutenberg and Richter, 1956; validated for Utah by USGS, 1976)

Instrumental Catalog (July 1962 – present)

—Preferred magnitude is local magnitude, M<sub>L</sub>, determined from maximum peak-to-peak amplitudes on Wood-Anderson seismograms

—The vast majority of the magnitudes are coda magnitudes, M<sub>c</sub>, determined from signal durations on short-period vertical records

 $-M_{W}$  now routinely determined for M ~ 3.4 and larger

#### **Magnitude-Intensity Relation for Utah**



lapping data points.

Base figure from Rogers et al. (USGS Open-file Rept. 76-89, 1976)

#### M<sub>c</sub> Calibrations (pre-digital)



From Griscom and Arabasz (1979)

#### M<sub>c</sub> Calibrations (digital)

Data: 1981 - 2001

Data: 1995 - 2001



From Pechmann et al. (2007)

 $M_L$  (UUSS) vs.  $M_W$ January 1981 - June 2003



from Pechmann et al. (2007)


43.5 °



"extended Utah region"

## NSHM catalog request

lat 36.0° - 43. 5° N long 108.0° - 115.0° W

0

108.0



NSHM Catalog Mw ≥ 3.5 1769 [1880]-2010 Not declustered

N total area = 788

N WGUEP region = 203



NSHM Catalog Mw ≥ 4.0 1769 [1880]-2010 Declustered Non-tectonic events deleted

N total area = 202

**N** WGUEP region = 67

## Comparison of UUSS and NSHM catalogs for the WGUEP region . . . (1880 through 2010; independent mainshocks M ≥ 4.0, non-tectonic events removed)

Magnitude Range	UUSS Catalog	NSHM Catalog
$4.0 \le M < 4.5$	45	34
$4.5 \le M < 5.0$	5	4
5.0 ≤ M < 5.5	10	21
$5.5 \le M < 6.0$	4	4
$6.0 \le M < 6.5$	3	3
6.5 ≤ M < 7.0	1	1
Total Number	68	67

Comparison of independent mainshocks (M ≥ 4.0) in the UUSS and NSHM catalogs for the WGUEP Region — accounting for completeness periods

Magnitude Range	Completeness Period	Yrs	Number UUSS Catalog	Number NSHM Catalog
$4.00 \le M < 4.67$	July 1962-Dec 2010	48.5	17	16
4.67 ≤ M < 5.33	Jan 1950-Dec 2010	61.0	7	17
$5.33 \le M < 6.00$	Jan 1938-Dec 2010	73.0	1	2
$6.00 \le M < 6.67$	Jan 1900-Dec 2010	111.0	3	2



## **Example Comparison of NSHM and UUSS Catalogs**

	NSHM→	← Pancha et al. (2006)			UUSS			
1966	5.21 UNR mw	5.20899001	Mw	D&S 1982	4.6	ML		
1963	5.03 UNR mw	5.03230178	Mw Surf	Patton 85	4.4	ML		
1964	5.02 UNR mw	5.01883286	Mw	D&S 1982	4.1	ML		
1950	5.00 UNR mw	5	MLEPB	EPB	3.0	Х	NOAA (no mag)	
1953	5.00 UNR mw	5	MLEPB	EPB	4.3			
1957	5.00 UNR mw	5	MLEPB	EPB	3.0	Х	NOAA (no mag)	
1958	5.00 UNR mw	5		UTHist	5.0			
1960	5.00 UNR mw	5	MLEPB	EPB	3.0	Х	NOAA (no mag)	
1961	5.00 UNR mw	5		UTHist	5.0			
1962	5.00 UNR mw	5.00470666	Mw	D&S 1982	5.2	ML		
1980	5.00 UNR mw	5	mb GS	PDE	4.4	Mc		
1988	5.00 UNR mw	5	mb GS	USHIS	4.32	Mc		
1987	4.99 UNR mw	4.99	Mw SorB	W&C	4.71	Mc		
1973	4.95 UNR mw	4.94900929	Mw	D&S 1982	4.2	Mc		
1987	4.80 UNR mw	4.8	Mc	CNSS UW			Duplicate	
1989	4.80 UNR mw	4.8	*W	Utregion	4.8	ML		

## Conclusions

- Standard errors for magnitude estimates in UUSS catalog can be documented for M<sub>Io</sub>, M<sub>L</sub>, and M<sub>C</sub>, and rounding values can be provided; decision whether to convert to M<sub>W</sub> event by event via regression
- Size estimates for pre-instrumental shocks have relatively largest uncertainty; intensity-magnitude relation needs to be examined with added data, and sizes of larger events re-examined
- Differences in magnitudes between NSHM and UUSS catalogs need to be reconciled, requiring careful checking and perhaps calculating preferred magnitude from variance-weighting of values from different available magnitude scales





# Three issues affecting magnitude estimates

Variability of M estimates for a single event
Number of measurements used to determine M for a single event
Number of stations available for M estimates as a function of time



Histogram of the number of coda duration measurements used for magnitude determination for 1502 earthquakes within the MRSN region from 10/ 2010 – 9/2011



Number of coda observations used for magnitude determinations for Earthquakes occurring 10/2010 – 9/2011 (1502 observations)



5647 earthquakes within 300 km of BUT that have magnitude determinations from both coda durations and BUT ML since January 1988













#### Coda magnitude relation: Mc = -2.50 +2.275 log Duration + 0.0029 Distance

### Average slope of Epicentral Distance vs. Coda Magnitude curves for 6 sample events:

0.0025 magnitude units per km or .25 magnitude units per 100 km









BRP EWG II Issue S4

Nevada's Catalog

John G. Anderson, Glenn Biasi Acknowledgements: USGS, Ken Smith, Diane dePolo, Tom Rennie, et al.

## Issue S4

 Issue S4: What are the sources and levels of uncertainty in the earthquake magnitudes contained in the seismicity catalogs used in the NSHMs?

## Outline

- History of Nevada monitoring
- Current status
- Uncertainties over time looking backwards



















#### Nevada Seismic Network



Nevada Seismic Network


## Case Study 2009

- "Local" events only
- Relatively quiet year
- Magnitude scale: M<sub>L</sub>
- Uses Richter distance term & M=2080
- YMP network operating
- 6296 earthquakes





## 2009, M≥2.0

- 230 earthquakes
- Larger fraction in northern Nevada, not picked on the YMP net.





Network Magnitude

2009: All





2009: M ≥ 2.0





All 2009 Earthquakes



2009: M ≥ 2.0





### Tinti and Mulargia (1985)

• For a region in which N(M)=a-bM, with uncertainty  $\sigma_v$ ,

• What is observed is:  $\log N = a - bM + \frac{b^2 \sigma_v^2}{2 \log e}$ 

• This gives the basis for adjusting the background seismicity rate





## Notes

- No obvious distance dependence in residuals.
- The correlation of M<sub>L</sub>, as determined in 2009, with magnitude scales used in the past is, at present, not known.
- The network does not use station corrections to determine magnitudes at this time. Use of station corrections may begin in the near future.

## Summary

- The Nevada network was analyzed for 2009
- In 2009, the network estimated M<sub>L</sub>
- The median uncertainty in network magnitude, as estimated by the network software, was 0.27.
- 90% of events with M>=2.0 had magnitude uncertainty under 0.38.

## Summary

- Early Nevada magnitudes.
  - Suggest median uncertainty of 0.4.
  - Since 1990, decrease uncertainty to 0.27.

BRP EWG II Issue S4

#### **Possible Recommendations**

John G. Anderson Chuck Mueller



## Issue S4

 Issue S4: What are the sources and levels of uncertainty in the earthquake magnitudes contained in the seismicity catalogs used in the NSHMs?

### Answer to immediate question

- Since USGS primarily uses the PDE catalog (unless there is a specialized regional study) to determine background seismicity, the question of source and level of uncertainty needs to be answered by USGS for many US regions including Walker Lane and IMW.
- For the Nevada catalog, uncertainties on M<sub>L</sub> in recent years appear to be ~0.27. More research is needed to extend this result to prior years.

## Some Thoughts

- The quality of locations within regional networks is generally superior to PDE, but regional network coverage is far from uniform at this time.
- There is a need to review regional catalogs "the right way".

## Some thoughts (continued)

- All networks in the region should be encouraged to:
  - Use of station corrections in magnitude determinations.
  - Carefully document changes in magnitude
    determination practices, and whenever changes
    are made, to develop a correlation between the
    old and new system.
  - Assign version numbers to catalogs for quality control.

### Some thoughts (continued)

- Efforts to improve regional catalogs should be encouraged, including
  - Review magnitudes, starting with the largest events. Thoughtfully assign uncertainties to reviewed magnitudes.
  - Use state-of-the-art techniques to relocate events.

## Southern California Hypocenter Relocation with Waveform Cross-Correlation, Part 2: Results Using Source-Specific Station Terms and Cluster Analysis

by Peter Shearer, Egill Hauksson, and Guoqing Lin



.6, 2011







### Recommendations

- All networks in the region need to develop statistical relationships between current and past magnitude scales to M<sub>W</sub>
- Regional and national catalogs need to be compared.

#### John Anderson: BRP EWG II

### **Possible Recommendations**

- Walker Lane is different from the Basin and Range province.
- Thus there may be reason to treat it separately.



#### Wesnousky (2005)



John Anderson: BRP EWG II



#### Walker Lane

- Different tectonic style
- Most seismicity

# Dip Angles for Basin and Range Normal Faults



Yumu Shan fault, China

BRPEWG II Discussion Topic Geology 4

Anthony Crone U.S. Geological Survey



**Dixie Valley fault, Nevada** 



BRPEWG II Nov. 16, 2011

#### **Statement of the Issue:**

Based on the recommendation from BRPEWG I, the current USGS NSHMs use a dip of 50°±10° for normal faults in the Basin and Range Province (BRP).

Is the 50° dip value and the ±10° uncertainty range valid and acceptable to cover the probable range of dips for BRP normal faults?



#### **Historical Perspective:**

NSHMs previously used value of 60° for dip of normal faults with no uncertainty range.

Topic arose in BRPEWG I discussion of geodetic vs. geologic deformation rates in the Province. Issue was not discussed in specific detail, but issue arose as part of spontaneous discussion.

Recommendation: "Convert vertical slip rates to extensional rates for consistency with GPS data. This involves resolving the question of dip of normal faults. The NSHMs currently use a dip of 60°; the Working Group recommends using a dip of 50°±10°."



#### 1983 Borah Peak, Idaho Earthquake

- Ms 7.3, Mw 6.9, Oct. 28, 1983.
- 34 km of surface rupture on Lost River fault.
- Unilateral rupture.
- Well-defined aftershock pattern.
- Geodetically measured coseismic deformation.






#### 1983 Borah Peak, Idaho Earthquake

- Richins et al., 1987, BSSA.
- Main shock depth: 16 ± 4 km.
- 421 aftershocks define rupture area.
- Aftershocks in central and southern part of area define plane that dips at about 45°.
- Aftershock projection intersects surface at ruptures.





#### 1983 Borah Peak, Idaho Earthquake

- Stein and Barrientos, 1985, BSSA.
- Solid line: planar fault; Dashed line: listric fault.
- Best fit: planar fault.
- Preferred dip: 47 ± 2° SW.
- Depth: 13.3 ± 1.2 km.





#### **1984 Devils Canyon, Idaho Sequence**

A

0

0

- Payne et al., 2004, BSSA.
- Late aftershock (August,  $\mathbf{O}$ **1984; M<sub>1</sub> 5.8) sequence of Borah Peak earthquake.**
- Located 237 events on  $\mathbf{O}$ Challis segment; north of main Borah Peak ruptures.







- Ryall, 1962, BSSA.
- Based on analysis of P-waves
- Preferred fault plane-- Strike: N 80° ± 10° W; Dip: 54° ± 8°.
- Doser, 1985, JGR.
- Analyzed data from two subevents of main shock and three significant aftershocks.
- Variety of possible interpretations based on focal mechanisms, but shortperiod data indicate earthquake first ruptured faults dipping at 60 ± 5° S.
- Rupture may have propagated onto a 42 ± 5° fault (Laramide structure?).





Doser, 1985, JGR.



- Barrientos et al., 1987, BSSA.
- Analyzed deformation using geodetic leveling and lake shoreline changes.
- Developed elastic half-space models of single-plane and double-plane fault systems.
- Listric fault models did not improve the variance of fit at the 90% confidence level in a statistically significant way.





Single-plane fault model

Barrientos et al., 1987, BSSA





**Double-plane fault model** 

Barrientos et al., 1987, BSSA



#### **Planar faults**

#### **Listric fault**



Double-plane fault model and seismicity: 1973-1981



#### 1954 Fairview Peak, Nevada Earthquake

- Romney, 1957, BSSA.
- Analyzed P-wave first motions: "The solution obtained implies a fault striking N 11° W and dipping 62° to the east."

- Slemmons, 1957, BSSA.
- "The faults in bedrock are everywhere normal, with dips of 55° to 75° east. Alluvium and soil, however, always breaks more steeply and the dips of the fault planes do not reflect the true dip of the fault plane in bedrock."









From Slemmons, 1957

1957

# **1954 Dixie Valley-Fairview Peak Summary**

Summary of Surface Rupture Characteristics for Faults Activated during the 1954 Fairview Peak and Dixie Valley Earthquakes

	Rupture									M <sup>g</sup> (max)	$M_{a}^{g}(avg)$		
Fault	length (km)	Average Strike	Dip	VS <sub>max</sub> (m)	VS <sub>avg</sub> (m)	SS <sub>max</sub> (m)	SS <sub>ang</sub> (m)	и <sub>так</sub> (m)	μ <sub>avg</sub> (m)	(×10 <sup>26</sup> dyne cm)	(×10 <sup>26</sup> dyne cm)	$M_*(\max)$	M <sub>a</sub> (avg)
Dixie Valley fault	42.0	017°	30°-50° E	2.80	0.90			3.66	1.17	9.04	2.89	7.27	6.94
Fairview fault zone	31.6	015°	50°-70° E	3.80	1.20	2.90	1.00	5.26	1.71	8.63	2.80	_	
				(3.80)									
Gold King fault	8.5	005°	50°-70° W	1.00	0.45		-	1.15	0.52	0.51	0.23		
Louderback	14.0	345°	60°-80° W	0.80	0.20	1.70	0.50	1.86	0.54	1.25	0.36		
Mtns fault				(0.70)									
Phillips Wash fault	6.2	027°	50°-70° E	0.48	0.25	0.80	0.60	0.87	0.67	0.28	0.22	_	
				(0.30)									
West Gate fault	10.0	003°	50°-70° W	1.15	0.40	1.20	0.60	1.41	0.76	0.73	0.39	_	
				(0.65)									
Fairview Peak										11.40	4.00	7.34	7.03
event totals													



#### From Caskey et al, 1996

Science for a changing world

Dixie Valley, 2005

#### **Northern Dixie Valley, Nevada**

- Okaya and Thompson, 1985, Tectonics.
- Interpreted reflection profiles in northern part of valley related to geothermal development.
- "Calculated fault dip from hand migration is 50°."



Fig. 3. Seismic line SRC-3, (top) stacked and (bottom) interpreted finite difference migration. Reflection symbols: A locustrine and playa deposits; B, alluvial fan; C, Tertiary volcaniclastic sequence; D, Herozoic basement;  $D_{e}$ , steeply dipping fault plane reflections;  $D_{m}$ , hand migration of event  $D_{e}$ . Calculated fault dip from the hand migration is 50°.



#### **Northern Dixie Valley, Nevada**

- Okaya and Thompson, 1985, Tectonics.
- Modeled gravity data to define basin and fault geometry.



Fig. 4. Gravity model across Dixie Valley. (top) Observed stations (points) and calculated curve. Dashed alternative curve represents values from a fault with dip of  $70^{\circ}$ . (bottom) model of Dixie Valley; densities in gm/cm<sup>3</sup>, depths in km. X-X' represents the projection of seismic line SRC-3 onto the model. Stillwater Range-front fault dip is  $50^{\circ}$ ; thin dashed lines represent projection of the model for a dip of  $70^{\circ}$ . Other faults dip at  $60^{\circ}$ . Densities: lacustrine/playa range = 2.00 to 2.35 gm/cm<sup>3</sup>; alluvial fan = 2.55 gm/cm<sup>3</sup>; Tertiary volcaniclastic sequence = 2.50 gm/cm<sup>3</sup>; Mesozoic basement = 2.80 gm/cm<sup>3</sup>.



#### **Northern Dixie Valley, Nevada**

- Okaya and Thompson, 1985, Tectonics.
- Preferred model of fault geometry and basin development.



Fig. 15. Combination of rigid and nonrigid models to describe late Cenozoic extensional faulting in the Dixie Valley re gion. Many small faults associated with nonrigid deformation accommodate faulting and sagging of Dixie Valley in the lower to intermediate crust. Intrusion of the crust is not shown but plays a major role in the development of the basin.



#### **1975 Pocatello Valley, Idaho Earthquake**

- Arabasz et al., 1981, BSSA.
- Analyzed M 6.0 main shock and 587 aftershocks. Obliqueslip rupture on previously unrecognized, NW-dipping normal fault.





#### **1975 Pocatello Valley, Idaho Earthquake**

- Arabasz et al., 1981, BSSA.
- "The fault plane outlined in Figure 7 is schematically shown dipping 45°NW. Various dip information, including the dip of the main shock nodal plane (39°), an average dip from the hypocentral cross sections (50°), and the dip from dislocation modeling (60°), illustrate the uncertainty involved in fixing the location of the fault at depth. A dip of 39 ° at 8.7-km depth is not incompatible with steeper dips higher in the crust if normal faults in the Basin and Range are listric, i.e., flatten with depth."
- Seems to emphasize the uncertainty in defining the fault location and geometry at depth.



- Zoback, 1992, USGS Prof. Paper 1500
- Interpreted structure from 30km-long, east-west, seismicreflection profile across Wasatch fault near Nephi.
- Used data on surface geology, gravity, and petroleum exploration wells to constrain the subsurface structure.



basin is labeled a. Reflectors X and Y are discussed in the text. Heavy



From Zoback, 1992

"the absence of reverse drag in the basin sediments suggest a planar, relatively steeply dipping (50°-55°) geometry for the Wasatch fault zone at this locality."



- Bruhn, Gibler, and Parry, 1987, Geol. Soc. Spec. Pub. 28.
- Focus on Salt Lake City segment; divide the segment into 3- to 12-kmlong linear sections. Used fault orientation and slickenside data to determine orientation of paleostress field.





- Bruhn, Gibler, and Parry, 1987, Geol. Soc. Spec. Pub. 28.
- Based on geometry of intersection between sections, they defined conservative and non-conservative barriers.
- "...we construct a preliminary model of the fault zone utilizing information about the strike of fault sections, the inferred slip direction in the fault zone, and the characteristics of the palaeo-stress tensor."
- Dip values of 35-65°.



FIG. 8. Map of surface fault trace in the Salt Lake segment with preferred dip angles based on geometrical modelling. Numbers of barriers same as those in Fig. 2 and Table 1. See text for discussion.



- Smith and Bruhn, 1984, JGR.
- Interpreted more than 1500 km of reflection data and developed structural cross sections.
- "Our data and interpretations have revealed the following styles of Cenozoic deformation: (1) steep- to low-angle dip, normal faulting along the Wasatch fault, (2) low-angle dip and listric normal faulting possibly associated with movement on preexisting thrusts, (3) the occurrence of asymmetric, mostly eastward tilted Tertiary basins that are bounded by low- to moderate-dipping planar and listric faults....."
- "An important observation, based on interpretations of seismic reflection profiles, is that normal fault zones dip more gently in the subsurface than their associated scarps in unconsolidated surficial deposits."





From Smith and Bruhn, 1984





"Reactivation of Mesozoic and early Tertiary thrust faults could be important on some segments of the Wasatch fault zone, but the evidence is generally ambiguous. The Levan segment may flatten into the Pavant thrust fault just west of the Gunnison Plateau [Standlee, 1982], and the Ogden and Provo segments cut the back limbs of ramp anticlines above the inferred positions of north trending frontal thrust ramps in the subsurface. However, we do not know if the faults merge into these ramps at depth. On the other hand, normal faults in the Salt Lake segment and southern most part of the Nephi segment do not appear to be located directly above north trending thrust ramps based on our interpretations."



From Smith and Bruhn, 1984

- Chang and Smith, 2002, BSSA.
- "for the purpose of analytic stress modeling, the Wasatch and nearby faults were discretized into rectangular patches 10 km long, dipping 55 W, and extending from the surface to 15 km deep with rake angles of 90 (pure normal fault)."
- Chang et al., 2006, JGR.
- Best agreement between GPS and geologic deformation rates are for fault dip of about 55°.

Dip of the Wasatch Fault	Dip of Simple Shear Plane (Antithetic Fault)	Vertical Displacement Rate from GPS Data, <sup>a</sup> mm/yr	Geologic Fault Slip Rate (0-10 ka), <sup>b</sup> mm/yr	Comparison of GPS Rate with Geologic Rate <sup>c</sup>
$\theta = 30^{\circ}$	$\alpha = 55^{\circ}\mathrm{E} - 80^{\circ}\mathrm{E}$	0.5-1.0	$1.7 \pm 0.5$	GPS < Geologic
	$\alpha = 90^{\circ}$	0.7-1.2	$1.7 \pm 0.5$	GPS < Geologic
$\theta = 55^{\circ}$	$\alpha = 55^{\circ}E - 80^{\circ}E$	0.9-2.3	$1.7 \pm 0.5$	Consistent
	$\alpha = 90^{\circ}$	1.7-2.9	$1.7 \pm 0.5$	Consistent
$\theta = 70^{\circ}$	$\alpha = 55^{\circ}E - 80^{\circ}E$	1.1-3.7	$1.7 \pm 0.5$	Consistent
	$\alpha = 90^{\circ}$	3.3-5.5	$1.7 \pm 0.5$	GPS > Geologic

Table 3. Comparison of Deformation Rates Across the Wasatch Fault From GPS and Geologic Determinations

<sup>a</sup>Vertical displacement rates were converted from the GPS-derived horizontal extension rates across the Wasatch fault,  $1.6 \pm 0.4$  mm/yr, using equation (3). <sup>b</sup>Holocene (0–10 ka) geologic slip rates of  $1.7 \pm 0.5$  mm/yr for the Wasatch fault were determined by *Friedrich et al.* [2003]. <sup>c</sup>Comparison of the two rates was based on  $1\sigma$  error ranges.



#### Western U.S. Cordillera

#### • Doser and Smith, 1989, BSSA.

- Evaluated source parameters for 50 earthquakes (M≥5.5—7.8) between 1918 and 1988 in domain that spans the "from western cordillera of the United States, a predominantly extensional regime located east of the San Andreas fault system and west of the Great Plains."
- Includes mainshocks and large aftershocks, and events that have strong evidence of major strike-slip motion; i.e. 1932 Cedar Mtn. NV, 1986 Chalfant Valley, CA.
- "Finally, this study gives an indication of what might be expected from a magnitude ≥7.0 earthquake in the western cordillera. Faulting would likely extend to depths of 12 to 16 km near the base of the brittle/ductile transition on a planar fault dipping 40° to 70°. Surface rupture would extend for a distance ≥16 km with a total displacement > 1.0 m. The rupture would likely be unilateral and consist of two or more subevents, with no individual subevent having a rupture length >20 km."



- Jackson and White, 1992, J. Struct. Geol.
- Consider dip errors to be in the range of 10-15°.
- Conclude that: "The great majority [of faults] have dips in the range 30-60°, and dips significantly less than 20° have not been observed."

Fig. 1. Dips of nodal planes for large  $(m_b \ge 5.2)$  continental normal faulting earthquakes, measured from fault plane solutions. This figure includes data from Greece, western Turkey, Italy, the Gulf of Suez, Tibet, NE China, SW China, Mongolia, East Africa, and the western U.S.A. The black region in (a) includes dips from moment tensor inversions carried out at Harvard (the method of Dziewonski & Woodhouse 1983), and published by the United States Geological Survey. The black region in (b) includes dips that have been deliberately drawn to be as gentle as possible (i.e. minimizing the strike-slip component); they could be steeper.





- Collettini and Sibson, 2001, GEOLOGY
- Updated data from Jackson and White by adding data from 13 additional events.
- Data are for: M >5.5 events in upper continental crust

Near-pure normal slip Dips of preferred nodal plane from focal mech., wave-form models, geodetic models, surface ruptures, aftershocks.

Only used data for which rupture plane can be unambiguously determined.





Figure 1. Histogram of active normal fault dips, from compilation of Jackson and White (1989) (no pattern) and Table 1 (pattern).

#### Collettini and Sibson, 2001, GEOLOGY

• "The dip distribution for positively discriminated normal slip ruptures extends over the range,  $65^\circ > 6 > 30^\circ$ , with a marked peak at  $6 \approx 45^\circ$ . There is still no definite examples of M 5.5 normal-slip earthquakes on faults dipping less than 30° according to the selection criteria we have employed."



Figure 3. Dip distributions for normal and reverse fault ruptures replotted in terms of inferred reactivation angle,  $\theta_r$ , Reverse fault data are from Sibson and Xie (1998), with addition of U.S. Geological Survey CMT dip estimates of 29° for 1999 M 7.6 Chi-chi, Taiwan, and 9° for M 6.5 Chamoli, India, ruptures. Vertical dashed lines represent lockup angles for various friction coefficients,  $\mu_c$ . At base of diagram, frictional reactivation parameter  $(\sigma_1 - \sigma_2)/\sigma_v'$  is plotted as function of  $\theta$ , for normal and reverse faults having  $\mu_c = 0.6$ .



• Jackson, 2002, Int. Assoc. Seismol. & Phys. Earth's Interior, v. 81A.



- Mw ≥ 5.3
- Black: body wave modeling
- White: Harvard CMT



- Mw ≥ 5.3
- Black: body wave modeling
- Gray: Harvard CMT
- White: first-motion mechanisms



• Jackson, 2002, Int. Assoc. Seismol. & Phys. Earth's Interior, v. 81A.





## Low-Angle Normal Faults: Can they be active?

- High-angle normal faults can rotate during their slip history to lower angles. Low-angle normal faults appear to have an significant role in continental extension of the B & R.
- Sevier Desert detachment:
  - Considerable discussion about it being an active low-angle (11°) structure, but additional work (Wills and Anders, 1999) raises questions about the interpretations of the detachments.
- Dixie Valley, Nevada:
  - Evidence that southern part of the Dixie Valley fault may have low dip angle.



#### **Dixie Valley, Nevada**





#### **Dixie Valley, Nevada**

Suggests that the reason geothermal production exists in northern Dixie Valley is related to steeper fault to north compared to no geothermal and shallower dipping fault in southern part of valley.



From J. Louie, UNR; http://crack.seismo.unr.edu/ftp/pub/louie/talks/AGU07-NS23A-07.html



## West Ruby Mts., Nevada

Additional example of range-front normal fault that apparently has shallow dip.

#### West Ruby Mts. Fault

Shallow-dip industry fault image to within 1 km of scarp
Gradual gravity gradient- basin floor is gently dipping



From J. Louie, UNR; http://crack.seismo.unr.edu/ftp/pub/louie/talks/AGU07-NS23A-07.html



#### **Pumpernickel Valley, Nevada**

Example of a more typical geometry of range-bounding normal fault.



From J. Louie, UNR; http://crack.seismo.unr.edu/ftp/pub/louie/talks/AGU07-NS23A-07.html



# Thomas Creek, Carson Range-Front, Nevada

Sarmiento et al., 2011, BSSA

Trench north of Thomas Creek on the eastern flank of the Carson Range near Reno exposed a lowangle feature.






# Thomas Creek, Carson Range-Front, Nevada





"...sharp, planar, low-angle failure surface dipping 33° E, lending to the possibility that the active normal fault is characterized by a dip much lower than expected from standard frictional considerations."

Sarmiento et al., 2011, BSSA



- Abers et al. 1997, JGR.
- Woodlark-D'Entrecasteaux rift system, Papua, New Guinea.
- Some normal-faulting mechanisms have dips of less than 20°.
- Region of high extension rates: 35-40 mm/yr. (5-10 times greater than B & R rates).
- Geologic and tectonic setting very different than the B & R.



Figure 10. Histograms showing dips of nodal planes for normal-faulting mechanisms (*P* axis plunge >45°). Histogram for shallower-dipping plane is shaded, steeper plane is open. (top) Results presented here combined with CMT solutions for the region. (bottom) Global CMT catalog 1977-1995; black shading denotes events with  $M_0 > 10^{17.5}$  N m ( $M_W > 5.67$ ).





- Low-angle normal fault system; Città di Castello–Sansepolcro basin (CSB), northern Apennines, Italy.
- Low level of instrumental seismicity recorded in CSB, and several damaging historical earthquakes; 1352, 1389, 1458, 1789, 1917.

#### Brozzetti et al., 2009, Tectonophysics



Fig. 3. Simplified geological map of the northern Tiber Valley showing the outcropping tectonic units and the Plio-Quaternary Cità di Castello-Sansepolcro basin (CSB) deposits. The traces of the studied segment of the CROP 03 seismic profile (Fig. 6) and of the interpretative geological section (Fig. 7) are shown. FF=M. Favalto fault; SMTF=Monte Santa Maria Tiberina fault; CdCF=Città di Castello fault; SF=Sansepolcro fault; PF=Parnacciano fault.





Brozzetti et al., 2009, Tectonophysics

- Deep seismic reflection profile: shows geometry of the Plio-Quaternary extensional structures. Low-angle east-dipping reflectors are expression of Altotiberina fault; regional extensional detachment on which both east- and west-dipping high-angle faults, sole out.
- Displacement along the AF is ~4.5 km; system still active, responsible for seismicity in the area.



- Based on instrumental seismicity and intensity data of five largest historical earthquakes (intensity IX to IX– X), they propose two main seismogenic structures: the Monte Santa Maria Tiberina (Mmax=5.9) and Città di Castello (Mmax up to 6.5) normal faults.
- Both are synthetic splays of the AF detachment, dipping to NE at moderate (45–50°) to low (25– 30°) angles and cutting upper crust up to the surface.
- Conclude that low-angle normal faults (at least with dips of 25– 30°) may be seismogenic.







## **Effects of Dip Angle on the NSHM**

- Original treatment of B&R normal faults (2002): 60° dip for all faults.
- Revised fault dips for B&R faults used in 2008 NSHM:

Dip angle:	<b>40°</b>	<b>50°</b>	<mark>60°</mark>
Weight:	<b>20%</b>	<b>60%</b>	<b>20%</b>

- Hazard increases by about 30%.
- Lower dip angles have larger effect on hazard.
- Seek to define fault dips that fall in the 5-95% range (2 σ).





# **Effects on Fault Dip on Rupture Area**

#### Decrease in dip angle results in non-linear increase in fault area





### **Effects on Fault Dip on Rupture Area**

#### **Parameters for Faults of Varying Dip Angle**

Thickness of seismogenic crust: 15 km Rupture length: 30 km

Dip Angle (degrees)	Fault Area (km²)	∆ Area (km²) from prior dip angle	Increase from prior dip angle	Δ Area (km²) from 60° dip	Increase from 60° dip
60	519.6	_	_	_	_
50	587.4	67.8	13.0%	67.8	13.0%
40	700.1	112.7	19.2%	180.5	34.7%
30	900.0	119.9	28.6%	380.4	73.2%



### **Statement of the Issue:**

Based on the recommendation from BRPEWG I, the current USGS NSHMs use a dip of 50°±10° for normal faults in the Basin and Range Province (BRP).

Is the 50° dip value and the ±10° uncertainty range valid and acceptable to cover the probable range of dips for BRP normal faults?

